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Section 1: Letter of Invitation

BLL/AFD-03/2020/

15th October 2020

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Dear Mr/Ms

Bhutan Lottery Limited invites proposals to provide the following consulting services:
Development, Installation, Operational support and maintenance of an e-lottery system and e-lottery games (Terminal and interactive). More details on the services are provided in the Terms of Reference.

A Consultant/ bidder will be selected under Quality and Cost Based Selection (QCBS) and procedures described in this RFP, in accordance with the latest Procurement Rules and Regulations in force of the Royal Government of Bhutan

The Proposals are to be submitted at the latest by 2nd November 2020 at 2:30 pm and the Technical Proposals will be opened on the same date at 3:00 pm.

The procedural requirements for responding to this invitation are provided in the complete RFP document, which includes the following:

- Section 1 - Letter of Invitation
- Section 2 - Instructions to Consultant/ bidders (including Data Sheet)
- Section 3 - Technical Proposal - Standard Forms
- Section 4 - Financial Proposal - Standard Forms
- Section 5 - Terms of Reference
- Section 6 - Standard Forms of Contract

Yours sincerely,

Bhutan Lottery Limited

Section 2: Instructions to Consultant/ bidders

Definitions:

(a) **Consultant/ bidder:** An individual or a legal entity entering into a Contract to provide the required Consulting Services.

(b) **Consulting Services:** Expert services of a professional and/or intellectual nature, provided by the Consultant/ bidder based on specialized expertise and skills, in areas including, but not limited to, preparing and implementing projects, conducting training, providing technical assistance, conducting research and analysis, preparing designs, supervising the execution of construction and other works, undertaking studies, advising Procuring Agencies, building capacity, preparing tender documents, supervising procurement, and others.

(c) **Contract:** The formal agreement in writing, including the General Conditions (GC), the Special Conditions (SC), and the Appendices, entered into between the Procuring Agency and the Consultant/ bidder, on acceptable terms and conditions and which are in compliance with all the relevant provisions of the laws of the Kingdom of Bhutan, for the provision of the required Consulting Services.

(d) **Data Sheet:** Such part of the Instructions to Consultant/ bidders used to reflect specific assignment conditions.

(e) **Day:** A calendar day.

(f) **Government:** Royal Government of Bhutan (RGoB).

(g) **Instructions to Consultant/ bidders (Section 2 of the RFP):** The document which provides the shortlisted Consultant/ bidders with all the information needed to prepare their Proposals.

(h) **In Writing:** Communicated in written form (eg by mail, electronic mail, fax, telex) with proof of receipt.

(i) **LOI (Section 1 of the RFP):** The Letter of Invitation being sent by the Procuring Agency to the Consultant/ bidders.

(j) **Personnel:** Professional and support staff provided by the Consultant/ bidder or by any Sub-Consultant/ bidder and assigned to perform the Services or any part thereof; "Foreign Personnel" means such professional and support staff who at the time of being so provided have their domicile outside Bhutan; "Local Personnel" means such professional and support staff who at the time of being so provided have their domicile inside Bhutan.

(k) **Procuring Agency:** RGoB agency with which the selected Consultant/ bidder signs the Contract for the Services.

(l) **Proposal:** The Technical Proposal and the Financial Proposal.

(m) **RFP:** The Request for Proposal to be prepared by the Procuring Agency for the selection of Consultant/ bidders, based on the SRFP.

(n) **SRFP:** The Standard Request for Proposals, which must be used by the

Procuring Agency as a guide for the preparation of the RFP.

(o) Services: The work to be performed by the Consultant/ bidder pursuant to the Contract.

(p) Sub-Consultant/ bidder: Any person or entity to whom/which the Consultant/ bidder subcontracts any part of the Services.

(q) Terms of Reference (TOR): The document included in the RFP as Section 5 which defines the objectives, goals, scope of work, activities, tasks, responsibilities of the Procuring Agency and the Consultant/ bidder, required outputs and results of the assignment, as well as background information (including a list of existing relevant studies and basic data) to facilitate the Consultant/ bidders' preparation of their proposals.

1 Introduction

- 1.1 The Procuring Agency named in the Data Sheet will select a consulting firm/organisation (the Consultant/ bidder) from those listed in the Letter of Invitation¹ in accordance with the method of selection specified in the Data Sheet.
- 1.2 The shortlisted Consultant/ bidders are invited to submit a Technical Proposal and a Financial Proposal, or a Technical Proposal only, as specified in the Data Sheet, for Consulting Services required for the assignment named in the Data Sheet. The Proposal will be the basis for contract negotiations and ultimately for a signed Contract with the selected Consultant/ bidder.
- 1.3 Consultant/ bidders should familiarize themselves with local conditions and take these into account in preparing their Proposals. To obtain first-hand information on the assignment and local conditions, Consultant/ bidders are encouraged to attend a pre-proposal meeting if one is specified in the Data Sheet. Attending the pre-proposal meeting is optional. Consultant/ bidders should contact the Procuring Agency's representative named in the Data Sheet to obtain additional information on the pre-proposal meeting. Consultant/ bidders should ensure that this official is advised of the proposed attendance at the meeting in adequate time to allow them to make appropriate arrangements.
- 1.4 The Procuring Agency will provide in timely fashion and at no cost to the Consultant/ bidder the inputs and facilities specified in the Data Sheet, assist the Consultant/ bidder in obtaining licenses and permits needed to carry out the Services, and make available relevant project data and reports.
- 1.5 Consultant/ bidders shall bear all costs associated with the preparation and submission of their proposals and contract negotiation. The Procuring Agency is not bound to accept any proposal, and reserves the right to annul the selection process at any time prior to Contract award, without thereby incurring any liability to the Consultant/ bidders.

¹ Only if Expression of Interest has taken place.

2 Conflict of Interest

2.1 The Procuring Agency and the RGoB requires that Consultant/ bidders provide professional, objective and impartial advice, and at all times hold the Procuring Agency's interests paramount, strictly avoid conflicts with other assignments or their own corporate interests, and act without any consideration for future work. Without limitation on the generality of the foregoing Consultant/ bidders, and any of their affiliates, shall be considered to have a conflict of interest, and shall not be recruited, under any of the circumstances set forth below:

a) **Conflicting Activities:**

A firm that has been engaged by the Procuring Agency to provide goods, works or services other than Consulting Services for a project, and any of its affiliates, shall be disqualified from providing Consulting Services related to those goods, works or services. A firm hired to provide Consulting Services for the preparation or implementation of a project, and any of its affiliates, shall be disqualified from subsequently providing goods or works or services resulting from or directly related to the firm's Consulting Services for such preparation or implementation. For the purposes of this paragraph, services other than Consulting Services are defined as those leading to a measurable physical output, for example surveys, exploratory drilling, aerial photography, and satellite imagery.

(b) **Conflicting Assignments:**

A Consultant/ bidder, including its Sub-Consultant/ bidders, affiliates and the Personnel of any of the foregoing, shall not be hired for any assignment that, by its nature, may be in conflict with another assignment of the Consultant/ bidder to be executed for the same or for another Procuring Agency. For example, a Consultant/ bidder hired to prepare engineering design for an infrastructure project shall not be engaged to prepare an independent environmental assessment for the same project, and a Consultant/ bidder assisting a Procuring Agency in the privatization of public assets shall not purchase, nor advise purchasers of, such assets. Similarly, a Consultant/ bidder hired to prepare Terms of Reference for an assignment, or otherwise to provide any other services during the preparatory stages of the assignment or of the project of which the assignment forms a part, shall not be hired for the assignment in question

(c) **Conflicting Relationships:**

(aa) A Consultant/ bidder, including its Sub-Consultant/ bidders, affiliates and the Personnel of any of the foregoing, that has a business relationship with a member of the Procuring Agency's staff who is directly or indirectly involved in any part of (i) the preparation of the Terms of Reference of the assignment, (ii) the selection process for such assignment, or (iii) supervision of the Contract, may not be awarded a Contract, unless the conflict stemming from this relationship has been resolved in a manner acceptable to the Procuring Agency throughout the selection process and the execution of the Contract.

(bb) A Consultant/ bidder, including its Sub-Consultant/ bidders,

affiliates and the Personnel of any of the foregoing, that employs or otherwise engages a spouse, dependent or close relative of a public servant of the RGoB who either is employed by the Procuring Agency or has an authority over it also shall not be eligible to be awarded a Contract. For the purposes of this sub-paragraph, a close relative is defined as immediate family which includes father, mother, brother, sister, spouse and own children.

2.2 Consultant/ bidders have an obligation to disclose any situation of actual or potential conflict that impacts their capacity to serve the best interests of the Procuring Agency, or that may reasonably be perceived as having this effect. Failure to disclose said situations may lead to the disqualification of the Consultant/ bidder or the termination of its Contract.

2.3 When the Consultant/ bidder nominates any present or previous government employee as Personnel in its Technical Proposal, such Personnel must have written certification from the Royal Civil Service Commission of Bhutan or their employer confirming that:

- (a) they are not current employees of the Procuring Agency, and
- (b) they are on leave without pay from their official position, and
- (c) they are allowed to work full-time outside of their previous official position.

Such certification(s) shall be provided to the Procuring Agency by the Consultant/ bidder as part of its Technical Proposal.

2.4 When the Consultant/ bidder nominates any former employee of the Procuring Agency as Personnel in its Technical Proposal it must ensure, and so certify in its Technical Proposal, that no conflict of interest exists in the scope of the former employee's inclusion within the Consultant/ bidder's Personnel being proposed to provide the Services.

3 Unfair Advantage

3.1 If a Consultant/ bidder could derive a competitive advantage from having provided Consulting Services related to the assignment in question, the Procuring Agency shall make available to all Consultant/ bidders together with this RFP all information that would in that respect give such Consultant/ bidder any competitive advantage over competing Consultant/ bidders.

4 Fraud and Corruption

4.1 It is RGoB policy to require that Consultant/ bidders, their Sub-Consultant/ bidders and the Personnel of them both observe the highest standards of ethics during the procurement and execution of contracts.² In pursuance of this policy, the RGoB:

- (a) defines, for the purposes of this provision, the terms set forth

² In this context, any action taken by a Consultant/ bidder, Sub-Consultant/ bidder or the Personnel of either of them to influence the procurement process or contract execution for undue advantage is improper.

below as follows:

- (i) “corrupt practice”³ means the offering, giving, receiving or soliciting, directly or indirectly, of anything of value⁴ to influence improperly the actions of another party;
 - (ii) “fraudulent practice”⁵ means any intentional act or omission, including a misrepresentation, that knowingly or recklessly misleads, or attempts to mislead, a party to obtain a financial or other benefit or to avoid an obligation;
 - (iii) “collusive practice”⁶ means an arrangement between two or more parties designed to achieve an improper purpose, including to influence improperly the actions of another party;
 - (iv) “coercive practice”⁷ means impairing or harming, or threatening to impair or harm, directly or indirectly, any party or the property of the party to influence improperly the actions of a party;
 - (v) "obstructive practice" means:
 - (aa) deliberately destroying, falsifying, altering or concealing of evidence material to the investigation or making false statements to investigators in order materially to impede any investigation into allegations of a corrupt, fraudulent, coercive or collusive practice; and/or threatening, harassing or intimidating any party to prevent it from disclosing its knowledge of matters relevant to the investigation or from pursuing the investigation; or
 - (bb) acts intended materially to impede the exercise of the inspection and audit rights of the Procuring Agency or any organization or person appointed by the Procuring Agency and/or any relevant RGoB agency provided for under sub-paragraph (d) below of this paragraph 4.1.
- (b) will reject a proposal for award if it determines that the Consultant/ bidder recommended for award has, directly or through an agent, engaged in corrupt, fraudulent, collusive, coercive or obstructive practices in competing for the contract

³ “another party” refers to a public official acting in relation to the procurement process or contract execution. In this context, “public official” includes staff and employees of any organizations (including any institutions providing finance for the Services) taking or reviewing procurement decisions.

⁴ “anything of value” includes, but is not limited to, any gift, loan, fee, commission, valuable security or other asset or interest in an asset; any office, employment or contract; any payment, discharge or liquidation of any loan, obligation or other liability whatsoever, whether in whole or in part; any other services, favour or advantage, including protection from any penalty or disability incurred or apprehended or from any action or proceeding of a disciplinary or penal nature, whether or not already instituted and including the exercise or the forbearance from the exercise of any right or any official power or duty.

⁵ a “party” refers to a public official; the terms “benefit” and “obligation” relate to the procurement process or contract execution; and the “act or omission” is intended to influence the procurement process or contract execution.

⁶ “parties” refers to participants in the procurement process (including public officials) and an “improper purpose” includes attempting to establish proposal prices at artificial, non competitive levels.

⁷ a “party” refers to a participant in the procurement process or contract execution.

in question;

(c) will sanction a Consultant/ bidder or individual, including declaring them ineligible, either indefinitely or for a stated period of time, to be awarded an RGoB-financed contract if at any time it determines that they have, directly or through an agent, engaged in corrupt, fraudulent, collusive, coercive or obstructive practices in competing for, or in executing, an RGoB-financed contract;

(d) will have the right to require that a provision be included in Requests for Proposals and in contracts financed by the RGoB, requiring Consultant/ bidders and their Sub-Consultant/ bidders to permit the Procuring Agency, any organisation or person appointed by the Procuring Agency and/or any relevant RGoB agency to inspect their accounts and records and other documents relating to their submission of proposals and contract performance, and to have them audited by auditors appointed by the Procuring Agency;

(e) requires that Consultant/ bidders, as a condition of admission to eligibility, execute and attach to their Proposals an Integrity Pact Statement in the form provided in Form TECH-10 of Section 3 as specified in ITC. Failure to provide a duly executed Integrity Pact Statement may result in disqualification of the Proposal; and

(f) will report any case of corrupt, fraudulent, collusive, coercive or obstructive practice to the relevant RGoB agencies, including but not limited to the Anticorruption Commission (ACC) of Bhutan, for necessary action as per the statutes and provisions of the relevant agency.

4.2 Consultant/ bidders, their Sub-Consultant/ bidders, and their affiliates shall not be under a declaration of ineligibility for corrupt, fraudulent, collusive, coercive or obstructive practices issued by the Procuring Agency in accordance with the above sub-paragraph (c) of this paragraph 4.1. Furthermore, Consultant/ bidders shall be aware of the provisions on fraud and corruption stated in the specific clauses in the General Conditions of Contract.

4.3 Consultant/ bidders shall furnish information on commissions and gratuities, if any, paid or to be paid to agents relating to this proposal and during execution of the assignment if the Consultant/ bidder is awarded the Contract, as requested in the Financial Proposal Submission Form (Section 4).

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| 5 | Origin of Goods and Consulting Services | <p>5.1 Goods supplied and Consulting Services provided under the Contract may originate from any country except if:</p> <p>(a) as a matter of law or official regulation, RGoB prohibits commercial relations with that country; or</p> <p>(b) by an act of compliance with a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations, Bhutan prohibits any imports of goods or services from that country or any payments to persons or entities in that country.</p> |
| 6 | Only one | <p>6.2 A Consultant/ bidder may only submit one proposal. If a</p> |

- Proposal per Consultant/ bidder** Consultant/ bidder submits or participates in more than one proposal, such proposals shall be disqualified. However, this does not limit the participation of the same Sub-Consultant/ bidder, including individual experts, in more than one proposal.
- 7 Proposal Validity** 7.2 The Data Sheet indicates how long Consultant/ bidders' Proposals must remain valid after the submission date. During this period, Consultant/ bidders shall maintain the availability of Professional staff nominated in the Proposal. The Procuring Agency will make its best efforts to complete negotiations within this period. Should the need arise, however, the Procuring Agency may request Consultant/ bidders in writing to extend the validity period of their proposals. Consultant/ bidders who agree to such extension shall confirm in writing that they maintain the availability of the Professional staff nominated in the Proposal or, in their confirmation of extension of validity of the Proposal, Consultant/ bidders could submit new staff in replacement who would be considered in the final evaluation for Contract award. Consultant/ bidders who do not agree have the right to refuse to extend the validity of their Proposals.
- 8 Eligibility of Sub-Consultant/ bidders** 8.2 In case a shortlisted Consultant/ bidder intends to associate with other Consultant/ bidders who have not been shortlisted and/or individual expert(s), such other Consultant/ bidders and/or individual expert(s) shall be subject to the same eligibility criteria as are stipulated for the Consultant/ bidder.
- 9 Exclusion of Consultant/ bidder or Sub-Consultant/ bidders** 9.2 A Consultant/ bidder and any Sub-consultant/ bidder shall not be permitted to submit a proposal or to be awarded a Contract under any of the following circumstances:
- (a) it is insolvent or is in receivership or is a bankrupt or is in the process of being wound up, or has entered into an arrangement with creditors; or
 - (b) its affairs are being administered by a court, judicial officer or by an appointed liquidator; or
 - (c) it has suspended business, or is in any analogous situation arising from similar procedures under the laws and regulations of its country of establishment; or
 - (d) it has been found guilty of professional misconduct by a recognized tribunal or professional body; or
 - (e) it has not fulfilled its obligations with regard to the payment of taxes, social security or other payments due in accordance with the laws of the country in which it is established or of the Kingdom of Bhutan; or
 - (f) it is or has been guilty of serious misrepresentation in supplying information in its tender or in the prior process leading to it being classified as a shortlisted Consultant/ bidder; or
 - (g) it has been convicted for fraud and/or corruption by a competent authority; or
 - (h) it has not fulfilled any of its contractual obligations with the Procuring Agency in the past; or

(i) he has been debarred from participation in public procurement by any competent authority as per law.

10 Contents, Clarification and Amendment of the RFP Document

- 10.2 The RFP document comprises:
- Section 1 - Letter of Invitation
 - Section 2 - Instructions to Consultant/ bidders (including Data Sheet)
 - Section 3 - Technical Proposal - Standard Forms
 - Section 4 - Financial Proposal - Standard Forms
 - Section 5 - Terms of Reference
 - Section 6 - Standard Forms of Contract
- 10.3 Consultant/ bidders may request a clarification of any part of the RFP document up to the number of days indicated in the Data Sheet before the proposal submission date. Any request for clarification must be sent in writing to the Procuring Agency's address indicated in the Data Sheet. The Procuring Agency will respond in writing, and will send written copies of the response (including an explanation of the query but without identifying the source of the inquiry) to all Consultant/ bidders. Should the Procuring Agency deem it necessary to amend the RFP as a result of a clarification, it shall do so following the procedure under paragraph 10.4 below;
- 10.4 A pre-proposal meeting will be conducted only if strictly necessary to clarify doubts and concerns of the shortlisted Consultant/ bidders prior to submission of proposals. Minutes of the pre-proposal meeting shall be circulated to all shortlisted Consultant/ bidders.
- 10.4 At any time before the submission of Proposals the Procuring Agency may amend the RFP by issuing an addendum in writing. The addendum shall be sent to all shortlisted Consultant/ bidders and will be binding on them. Consultant/ bidders shall acknowledge receipt of all addenda before the final date and time established for the submission of Proposals. To give Consultant/ bidders reasonable time in which to take an addendum into account in their Proposals the Procuring Agency may, if the addendum is substantial, extend the deadline for the submission of Proposals.

11 Preparation of Proposals

- 11.2 The Proposal, as well as all related correspondence exchanged by the Consultant/ bidder and the Procuring Agency, shall be written in the language specified in the Data Sheet.
- 11.3 In preparing their Proposals, Consultant/ bidders are expected to examine in detail the documents comprising the RFP. Material deficiencies in providing the information requested may result in rejection of a Proposal.
- 11.4 While preparing the Technical Proposal, Consultant/ bidders must pay particular attention to the following:
- (a) If a shortlisted Consultant/ bidder considers that it may enhance its expertise for the assignment by associating with other Consultant/ bidders in a joint venture/consortium/association or sub-consultancy it may associate with either (a) non-shortlisted

Consultant/ bidder(s), or (b) shortlisted Consultant/ bidders, if so indicated in the Data Sheet. A shortlisted Consultant/ bidder must first obtain the approval of the Procuring Agency if it wishes to enter into a joint venture/consortium/association with non-shortlisted or shortlisted Consultant/ bidder(s). In the case of a joint venture/consortium/association with non-shortlisted Consultant/ bidder(s), the shortlisted Consultant/ bidder shall act as joint venture/consortium/association leader. In the case of a joint venture/consortium/association, all partners shall be jointly and severally liable and shall indicate who will act as the leader of the joint venture/consortium/association.

(b) The estimated number of Professional staff-months or the budget for executing the assignment shall be shown in the Data Sheet, but not both. However, the Proposal shall be based on the number of Professional staff-months or the budget estimated by the Consultant/ bidder.

(c) For fixed-budget-based assignments, the available budget is given in the Data Sheet, and the Financial Proposal shall not exceed this budget, while the estimated number of Professional staff-months shall not be disclosed.

(d) Alternative professional staff shall not be proposed, and only one curriculum vita (CV) may be submitted for each position.

12 Language 12.2 Documents to be issued by the Consultant/ bidder as part of this assignment must be in the language specified in the Data Sheet.

13 Technical Proposal Format and Content 13.1 Depending on the nature of the assignment, Consultant/ bidders are required to submit a Full Technical Proposal (FTP), or a Simplified Technical Proposal (STP). The Data Sheet indicates the format of the Technical Proposal to be submitted. Submission of the wrong type of Technical Proposal will result in the Proposal being deemed non-responsive. The Technical Proposal shall provide the information indicated in the following paragraphs from (a) to (i) using the attached Standard Forms (Section 3). Paragraph (c) (ii) indicates the recommended number of pages for the description of the approach, methodology and work plan of the STP. A page is considered to be one printed side of A4 or letter size paper.

(a) (i) For the FTP only, a brief description of the Consultant/ bidder's organization and an outline of the recent experience of the Consultant/ bidder on assignments of a similar nature is required in Form TECH-2 of Section 3. In the case of a joint venture/consortium/association, this information should be provided for each partner. For each assignment, the outline should indicate the names of Sub-Consultant/ bidders/Professional staff who participated, the duration of the assignment, the Contract amount, and the Consultant/ bidder's involvement. Information should be provided only for those assignments for which the Consultant/ bidder was legally contracted by the Procuring Agency as a corporation or as one of the major firms within a joint venture/consortium/association. Assignments completed by individual Professional staff working privately or through other consulting firms cannot be claimed as the experience of the Consultant/ bidder, or that of the Consultant/ bidder's associates, but can be claimed by the Professional staff themselves in their CVs. Consultant/

bidders should be prepared to substantiate the claimed experience if so requested by the Procuring Agency .

(ii) For the STP, the above information is not required and Form TECH-2 of Section 3 shall not be used.

(b) (i) For the FTP only, comments and suggestions on the Terms of Reference including workable suggestions that could improve the quality/effectiveness of the assignment; and on requirements for counterpart staff and facilities including: administrative support, office space, local transportation, equipment, data, etc. to be provided by the Procuring Agency (Form TECH-3 of Section 3).

(ii) For the STP, Form TECH-3 of Section 3 shall not be used; the above comments and suggestions, if any, should be incorporated into the description of the approach and methodology (refer to following sub-paragraph 12.1 (c) (ii)).

(c) (i) For the FTP and STP, a description of the approach, methodology and work plan for performing the assignment and covering the following subjects: technical approach and methodology, work plan, and organization and staffing schedule. Guidance on the content of this section of the Technical Proposals is provided under Form TECH-4 of Section 3. The work plan should be consistent with the Work Schedule (Form TECH-8 of Section 3), which shall also be provided and which will show in the form of a bar chart the timing proposed for each activity.

(ii) For the STP only, the description of the approach, methodology and work plan should normally consist of 10 pages, including charts, diagrams, comments and suggestions, if any, on the Terms of Reference, counterpart staff and facilities.

(d) The list of the proposed Professional staff team by area of expertise, the position that would be assigned to each staff team member, and their tasks (Form TECH-5 of Section 3).

(e) Estimates of the staff input (staff-months of foreign and local professionals) needed to carry out the assignment (Form TECH-7 of Section 3). The staff-months input should be indicated separately for home office and field activities, and for foreign and local Professional staff.

(f) CVs of the Professional staff signed by the staff themselves or by the authorized representative of the Professional Staff (Form TECH-6 of Section 3). CVs shall be supported by at least two references from past client.

(g) For the FTP only, a detailed description of the proposed methodology and staffing for training, if the Data Sheet specifies training as a specific component of the assignment.

(h) Any drawings and/or specifications that form part of the Technical Proposal (FORM TECH-9 of Section 3).

(i) Duly executed Integrity Pact Statement (FORM TECH-10 of Section 3).

- 13.2 The Technical Proposal shall not include any financial information. A Technical Proposal containing financial information may be declared non-responsive.
- 14 Financial Proposals**
- 14.2 The Financial Proposal shall be prepared using the attached Standard Forms (Section 4). It shall list all costs associated with the assignment, including (a) remuneration for staff (foreign and local, in the field and at the Consultant/ bidder's home office), and (b) reimbursable expenses indicated in the Data Sheet. If appropriate, these costs should be broken down by activity and, if also appropriate, into foreign and local expenditures. All activities and items described in the Technical Proposal must be priced separately; activities and items described in the Technical Proposal but not priced shall be assumed to be included in the prices of other activities or items.
- 14.3 In case of Foreign Consultant/ bidders (as individual or as a firm), the consultant/ bidder may express the price of their services in a maximum of three freely convertible foreign currencies, singly or in combination. The Procuring Agency may require Consultant/ bidders to state the portion of their price representing local costs in Ngultrum (BTN) if so indicated in the Data Sheet.
- 14.4 Commissions and gratuities, if any, paid or to be paid by Consultant/ bidders and related to the assignment will be listed in the Financial Proposal Form FIN-1 of Section 4.
- 15 Taxes**
- 15.2 The Consultant/ bidder may be subject to local taxes (such as value added or sales tax, social charges or income taxes on non-resident Foreign Personnel, duties, fees, levies, etc) on amounts payable by the Procuring Agency under the Contract. The Procuring Agency will state in the Data Sheet if the Consultant/ bidder is subject to payment of any local taxes. Any such amounts shall not be included in the Financial Proposal as they will not be evaluated, but they will be discussed at contract negotiations, and applicable amounts will be included in the Contract.
- 16 Sealing & Submission of Proposals**
- 16.1 The original proposal (Technical Proposal and, if required, Financial Proposal) shall contain no interlineations or overwriting, except as necessary to correct errors made by the Consultant/ bidder itself. The person who signed the Proposal must initial such corrections. Submission letters for the Technical and Financial Proposals shall respectively be in the format of TECH-1 of Section 3, and FIN-1 of Section 4.
- 16.2 An authorized representative of the Consultant/ bidder shall initial all pages of the original Technical and Financial Proposals. The authorization shall be in the form of a written power of attorney accompanying the Proposal or in any other form demonstrating that the representative has been duly authorized to sign. The signed Technical and Financial Proposals shall be marked "ORIGINAL".
- 16.3 The Technical Proposal shall be marked "ORIGINAL" or "COPY" as appropriate. The Technical Proposals shall be sent to the addresses referred to in paragraph 16.8 and in the number of copies indicated in the Data Sheet. All required copies of the Technical Proposal are to be made from the original. If there are discrepancies between the original and the copies

of the Technical Proposal, the original shall govern.

16.4 The original and all copies of the Technical Proposal shall be placed in a sealed envelope clearly marked "TECHNICAL PROPOSAL" Similarly, the original Financial Proposal (if required under the selection method indicated in the Data Sheet) shall be placed in a sealed envelope clearly marked "FINANCIAL PROPOSAL" followed by the reference number and name of the assignment, and with a warning "**DO NOT OPEN WITH THE TECHNICAL PROPOSAL.**" The envelopes containing the Technical and Financial Proposals shall be placed into an outer envelope and sealed. This outer envelope shall bear the submission address, reference number and title of the assignment, and be clearly marked "**CONFIDENTIAL – DO NOT OPEN, EXCEPT IN THE PRESENCE OF THE APPOINTED OPENING OFFICIAL(S), BEFORE** *[insert the time and date of the submission deadline indicated in the Data Sheet]*". The Procuring Agency shall not be responsible for misplacement, loss or premature opening if the outer envelope is not sealed and/or marked as stipulated. This circumstance may be case for Proposal rejection. If the Financial Proposal is not submitted in a separate sealed envelope duly marked as indicated above, this will constitute grounds for declaring the Proposal non-responsive.

16.5 All inner envelopes shall:

- (a) be signed across their seals by the person authorized to sign the Proposal on behalf of the Consultant/ bidder; and
- (b) be marked "ORIGINAL" or "COPIES"; and
- (c) indicate the name and address of the Consultant/ bidder to enable the Proposal to be returned unopened in case it is declared late pursuant to paragraph 16.8 hereunder.

16.6 All inner and outer envelopes shall be sealed with adhesive or other sealant which will prevent re-opening.

16.7 The Proposals shall be delivered by hand or by registered post in sealed envelopes to the address/addresses indicated in the Data Sheet and received by the Procuring Agency no later than the time and the date indicated in the Data Sheet, or any extension to this date in accordance with paragraph 10.4. Any proposal received by the Procuring Agency after the deadline for submission shall be returned unopened.

17 Withdrawal and Substitution of Proposals

17.1 A Consultant/ bidder may withdraw or substitute its Proposal after it has been submitted by sending a written notice in accordance with paragraph 16, duly signed by an authorized representative, and shall include a copy of the authorization (the power of attorney) in accordance with paragraph 16.2. Any substitution of a Proposal must accompany the respective written substitution notice. All notices must be:

- (a) submitted in accordance with paragraph 16 above (except that withdrawal notices do not require copies), and in addition, the respective envelopes shall be clearly marked "WITHDRAWAL" or "SUBSTITUTION" and
- (b) received by the Procuring Agency prior to the deadline prescribed for submission of Proposals, in accordance with

paragraph 16.7.

17.2 Proposals requested to be withdrawn in accordance with paragraph 17.1 shall be returned unopened to the Consultant/ bidders.

17.3 No Proposal may be withdrawn or substituted in the interval between the deadline for submission of Proposals and the expiry of the period of Proposal validity specified by the Consultant/ bidder in its Proposal or any extension thereof. Any such withdrawal shall result in the debarment by competent authority as per law.

- 18 Opening of Proposals**
- 18.2 Immediately after the closing date and time for submission of Proposals any envelopes marked "Withdrawal" and accompanied by a properly authorized withdrawal notice shall be put aside, and stored safely and securely ready for return to the Consultant/ bidder.
- 18.3 The Procuring Agency then shall open all remaining Technical Proposals, including any substitutions accompanied by a properly authorized substitution notice. The Financial Proposals shall remain sealed and securely stored.
- 19 Evaluation to be Confidential**
- 19.2 From the time the Proposals are opened to the time the Contract is awarded, the Consultant/ bidder shall not contact the Procuring Agency on any matter related to its Technical and/or Financial Proposal. Any effort by any Consultant/ bidder to influence the Procuring Agency in the examination, evaluation, ranking of Proposals, and recommendation for Award of Contract may result in the rejection of the Consultant/ bidder's Proposal.
- 19.3 After the opening of Proposals, information concerning the Proposal documents or any part of the contents thereof shall not be released to any person or party that is not a member of the Proposal Evaluation Committee.
- 19.4 The evaluation proceedings shall be kept confidential at all times until the award of Contract is announced.
- 19.5 Evaluators of Technical Proposals shall have no access to the Financial Proposals until the technical evaluation is concluded.
- 20 Evaluation of Technical Proposals**
- 20.1 The Evaluation Committee shall evaluate the Technical Proposals on the basis of their responsiveness to the Terms of Reference, applying the evaluation criteria, sub-criteria and points system specified in the Data Sheet. Each responsive Proposal will be given a technical score (St). A Proposal shall be rejected at this stage if it does not respond to important aspects of the RFP, particularly the Terms of Reference, or if it fails to achieve the minimum technical score indicated in the Data Sheet.
- 20.2 Following the ranking of Technical Proposals, when selection is based on quality only (QBS), the first ranked Consultant/ bidder shall be invited to negotiate its proposal and the Contract in accordance with the instructions given under paragraphs 23 to 26 of these Instructions.
- 21 Public Opening and Evaluation**
- 21.2 After the technical evaluation is completed, the Procuring Agency shall inform the Consultant/ bidders who have submitted proposals

of Financial Proposals (only for QCBS, FBS, and LCS)

the technical scores obtained by their Technical Proposals, and shall notify those Consultant/ bidders whose Proposals did not meet the minimum qualifying mark, or were considered non responsive to the RFP and TOR, that their Financial Proposals will be returned unopened after completing the selection process. The Procuring Agency shall simultaneously notify in writing those Consultant/ bidders that have secured the minimum qualifying mark, the date, time and location for opening the Financial Proposals. The opening date shall allow Consultant/ bidders sufficient time to make arrangements for attending the opening. Consultant/ bidders' attendance at the opening of Financial Proposals is optional.

21.3 Financial Proposals shall be opened publicly in the presence of the Consultant/ bidders' representatives who choose to attend. The names of the Consultant/ bidders and their technical scores shall be read aloud. The Financial Proposals of the Consultant/ bidders who met the minimum qualifying mark will then be inspected to confirm that they have remained sealed and unopened. These Financial Proposals shall be then opened, and the following information read out and recorded:

- (a) name of the Consultant/ bidder;
- (b) points awarded to the Technical Proposal; and
- (c) total price of the Financial Proposal.

The prices also shall be written on a notice board for the public to copy.

21.3 The Procuring Agency shall prepare a record of the opening of the Financial Proposals, which shall include the information disclosed to those present in accordance with paragraph 21.2 above. The minutes shall include, as a minimum:

- (a) the assignment title and reference number;
- (b) the date, time and place of opening of the Financial Proposals;
- (c) the prices offered by the Consultant/ bidders;
- (d) the name and nationality of each Consultant/ bidder;
- (e) the names of attendees at the opening of the Financial Proposals, and of the Consultant/ bidders they represent;
- (f) details of any complaints or other comments made by Consultant/ bidders' representatives attending the opening of the Financial Proposals, including the names and signatures of the representatives making the complaint(s) and/or comment(s); and
- (g) the names, designations and signatures of the members of the Proposal Opening Committee.

The Consultant/ bidders' representatives who are present shall be requested to sign the record. The omission of a representative's signature on the record shall not invalidate the contents and effect of the record. A copy of the record shall be distributed to all Consultant/

bidders who submitted Proposals.

21.4 The Evaluation Committee will correct any computational errors. When correcting computational errors, in case of any discrepancy between a partial amount and the total amount, or between words and figures, the formers shall prevail. In addition to the above corrections, as indicated under paragraph 14.1, activities and items described in the Technical Proposal but not priced shall be assumed to be included in the prices of other activities or items. In case an activity or line item is quantified in the Financial Proposal differently from the Technical Proposal:

(a) if the Time-Based form of Contract has been included in the RFP, the Evaluation Committee shall correct the quantification indicated in the Financial Proposal so as to make it consistent with that indicated in the Technical Proposal, apply the relevant unit price included in the Financial Proposal to the corrected quantity and correct the total Proposal cost, and

(b) if the Lump-Sum form of Contract has been included in the RFP, no corrections are applied to the Financial Proposal in this respect.

Prices shall be converted to a single currency using the selling rates of exchange, source and date indicated in the Data Sheet.

21.5 In the case of QCBS, the lowest evaluated Financial Proposal (Fm) will be given the maximum financial score (Sf) of 100 points. The financial scores (Sf) of the other Financial Proposals will be computed as indicated in the Data Sheet. Proposals will be ranked according to their combined technical (St) and financial (Sf) scores using the weights (T = the weight given to the Technical Proposal; P = the weight given to the Financial Proposal; T + P = 1) indicated in the Data Sheet: $S = St \times T\% + Sf \times P\%$. The firm achieving the highest combined technical and financial score will be invited for negotiations.

21.6 In the case of Fixed-Budget Selection, the Procuring Agency will select the firm that submitted the highest ranked Technical Proposal within the budget. Proposals that exceed the indicated budget will be rejected. In the case of Least-Cost Selection, the Procuring Agency will select the lowest proposal among those that passed the minimum technical score. In both cases the evaluated proposal price according to paragraph 21.5 shall be considered, and the selected firm invited for negotiations.

22 Negotiations

22.1 Negotiations will be held at the date and address indicated in the Data Sheet. The invited Consultant/ bidder will, as a pre-requisite for attendance at the negotiations, confirm availability of all Professional staff. Failure to satisfy this requirement may result in the Procuring Agency proceeding to negotiate with the next-ranked Consultant/ bidder. Representatives conducting negotiations on behalf of the Consultant/ bidder must have written authority to negotiate and conclude a Contract.

23 Technical Negotiations

23.1 Negotiations will include a discussion of the Technical Proposal, the proposed technical approach and methodology, work plan, organization and staffing, any suggestions made by the Consultant/ bidder to improve the Terms of Reference, and the Special Conditions of Contract. The Procuring

Agency and the Consultant/ bidder will finalize the Terms of Reference, staffing schedule, work schedule, logistics and reporting. These documents will then be incorporated into the Contract as “Description of Services”. Special attention will be paid to defining clearly the inputs and facilities required from the Procuring Agency in order to ensure satisfactory implementation of the assignment. The Procuring Agency shall prepare minutes of the negotiations, which shall be signed by the Procuring Agency and the Consultant/ bidder. The negotiations shall not substantially alter the original Terms of Reference or the terms of the Contract.

24 Financial Negotiations

24.1 If applicable, it is the responsibility of the Consultant/ bidder, before starting financial negotiations, to contact the local tax authorities to determine the local tax amount to be paid by the Consultant/ bidder under the Contract. The financial negotiations will include a clarification (if any) of the Consultant/ bidder’s tax liability in Bhutan, and the manner in which it will be reflected in the Contract; and will reflect the agreed technical modifications in the cost of the services.

24.2 In the cases of QCBS, Fixed-Budget Selection and the Least-Cost Selection methods involving time based Contracts, unless there are exceptional reasons the financial negotiations will involve neither the remuneration rates for staff nor other proposed unit rates. For other methods, Consultant/ bidders will provide the Procuring Agency with the information on remuneration rates described in the Appendix attached to Section 4 - Financial Proposal - Standard Forms of this RFP.

24.3 In the case of a Lump Sum Contract where price is a factor of selection, the price shall not be negotiated.

24.4 Reimbursable costs are payable on an actual expenses incurred basis, and thus shall not be subject to financial negotiation.

25 Availability of Professional Staff/Experts

25.1 Having selected the Consultant/ bidder on the basis of, among other things, an evaluation of proposed Professional staff, the Procuring Agency expects to negotiate a Contract on the basis of the Professional staff named in the Proposal. Before contract negotiations, the Procuring Agency will require assurances that the Professional staff will actually be available. The Procuring Agency will not consider substitutions during contract negotiations unless both parties agree that undue delay in the selection process makes such substitution unavoidable or for reasons such as death or medical incapacity. If this is not the case and if it is established that Professional staff were offered in the proposal without confirming their availability, the Consultant/ bidder may be disqualified. Any proposed substitute shall have equivalent or better qualifications and experience than the original candidate and be submitted by the Consultant/ bidder within the period of time specified in the letter of invitation to negotiate.

26 Conclusion of the Negotiations

26.1 Negotiations will conclude with a review of the draft Contract. To complete negotiations the Procuring Agency and the Consultant/ bidder will initial the agreed Contract. If negotiations fail, the Procuring Agency shall inform the Consultant/ bidder in writing of the reasons for termination of the negotiations and then shall invite the Consultant/ bidder whose Proposal received the second highest combined technical and financial score to negotiate a Contract. Once negotiations commence with the second ranked Consultant/ bidder the Procuring Agency shall not reopen the earlier

27 Procuring Agency's Right to Accept Any Proposal and to Reject Any or All Proposals
28 Letter of Intent to Award/Award of Contract

negotiations.

27.1 The Procuring Agency reserves the right to accept or reject any Proposal, and to annul the Request for Proposals process and reject all Proposals at any time prior to Contract award, without thereby incurring any liability to Consultant/ bidders.

28.1 The Procuring Agency shall notify the concerned Consultant/ bidder whose proposal has been selected in writing (in the format in Section 4-hereafter called the Letter of Intent to award) that the Procuring Agency has intention to accept its proposal and the information regarding the name, address and amount of selected consultant/ bidder shall be given to all other consultant/ bidders who submitted the proposal. Such notification should be communicated in writing, including by cable, facsimile, telex or electronic mail to all the Consultant/ bidders on the same day of dispatch. The Employer shall ensure that the same information is uploaded on their website on the same day of dispatch.

28.2 If no consultant/ bidders submits an application pursuant to ITB 30 within a period of ten(10) days of the notice provided under ITB 28.1,after completing negotiations the Procuring Agency shall award the Contract to the selected Consultant/ bidder, and:

- (a) as soon as possible notify unsuccessful Consultant/ bidders, and
- (b) publish a notification of award on the Procuring Agency's website.

28.3 The notifications to all unsuccessful Consultant/ bidders, and the notification on the Procuring Agency's website, shall include the following information:

- (a) the assignment reference number;
- (b) the name of the winning Consultant/ bidder and the Financial Proposal total price it offered; and
- (c) the date of the award decision.

28.4 The time taken to notify unsuccessful Consultant/ bidders and publish the notification of award on the Procuring Agency's website may in no circumstances exceed 15 days from the date of the decision to award the Contract to the successful Consultant/ bidder.

28.5 Following the decision to award the Contract to the selected Consultant/ bidder, the parties shall enter into a written Contract binding on both parties. The Contract shall be compatible with the Applicable Laws of Bhutan. The Contract shall be signed by the duly authorized representatives of the parties and shall bear the date of signature.

28.6 Where both the parties do not sign the Contract simultaneously,

- (a) The Procuring Agency shall send to the selected Consultant/ bidder two original copies of (1) the full agreed Contract and (2) the letter of acceptance (notification of award), each signed by the its duly authorized representative together with the date of signature;
- (b) The letter of acceptance shall indicate the deadline by which it

must be accepted, which shall normally be not more than 15 days from the date of its receipt by the Consultant/ bidder;

(c) The Consultant/ bidder, if he agrees to conclude the Contract, shall sign and date all original copies of the Contract and the letter of acceptance and return one copy of each to the Procuring Agency before the expiry of the deadline indicated in the letter of acceptance;

(d) In case the selected Consultant/ bidder fails to sign the Contract agreement within the deadline specified in the letter of acceptance the Contract shall be awarded to the next lowest evaluated Consultant/ bidder. Such a failure shall be considered as withdrawal and the provisions of Clause 17.3 shall apply.

28.7 The Consultant/ bidder is expected to commence the assignment on the date and at the location specified in the Data Sheet.

29 Confidentiality

29.1 Information relating to evaluation of Proposals and recommendations concerning awards shall not be disclosed to the Consultant/ bidders who submitted the Proposals or to other persons not officially concerned with the process, until the publication of the award of Contract. The undue use by any Consultant/ bidder of confidential information related to the process may result in the rejection of its Proposal and may be subject to the provisions of the RGoB's antifraud and corruption policy.

30. Complaint and Review

30.1. Any consultant/ bidder has right to complain if it has or is likely to suffer, loss or injury due to breach of a duty imposed on the Procuring Entity by the provisions of this document. The Complaint shall be submitted in writing to the Employer within ten (10) days from the date of intention to award. In the first instance, the consultant/ bidder who submits Proposal shall submit the complaint to the Employer.

30.2 The consultant/ bidder may appeal to the Independent Review Body only if the Employer has not delivered the decision within the specified time, or the complainant is not satisfied with the decision of the Employer.

Instructions to Consultant/ bidders**DATA SHEET**

ITC Paragraph Reference	Details
1.1	Name of the Procuring Agency : Bhutan Lottery Limited (BLL) Method of selection: Quality and Cost Based Selection (QCBS)
1.2	Financial Proposal to be submitted together with Technical Proposal: Yes The name of the assignment is: Development, Installation, Operational support and maintenance of an e-lottery system and e-lottery games (Terminal and interactive) The scope of the assignment and expected time of its completion are: 2 months for software setup and lease for 3 years (lease period will be extendable based on the situation after completion of 3 years)
1.3	A pre-proposal conference will be held: No
1.4	The Procuring Agency will provide the following inputs and facilities in case successful bidder opt to develop software in Bhutan Lottery Office: <ul style="list-style-type: none"> • Office space • Internet connection
2.1 (a)	The Procuring Agency envisages the need for continuity for downstream work: Yes
4.1 (e)	The bidders shall submit a signed Integrity Pact: Yes
7.1	Proposals must remain valid 90 days after the submission date,
10.2	Clarifications may be requested not later than 5 working days before the submission date. The address for requesting clarifications is Administrative Officer, Bhutan Lottery Ltd. Phone #: 05253089 E-mail:kingatshering@bll.bt
10.3	A pre-proposal meeting will not be conducted.
11.4 (a)	Shortlisted Consultant/ bidders may associate with other shortlisted Consultant/ bidders: NA

11.5 (b)	The estimated number of months required for the assignment is: 2 months for software setup and lease for 3 years (lease period will be extendable based on the situation after completion of 3 years)
12.1	Proposals shall be submitted in the following language: <i>English</i>
13.1	The format of the Technical Proposal to be submitted is: FTP
13.1 (g)	Training is a specific component of this assignment: Yes
14.1	<p>[NA]</p> <p>(1) a per diem allowance in respect of Personnel of the Consultant/ bidder for every day in which the Personnel shall be absent from the home office and, as applicable, outside Bhutan, for the purposes of the Services;</p> <p>(2) cost of necessary travel, including transportation of the Personnel by the most appropriate means of transport and the most direct practicable route;</p> <p>(3) cost of office accommodation, investigations and surveys;</p> <p>(4) cost of applicable international or local communications such as the use of telephone and facsimile required for the purpose of the Services;</p> <p>(5) cost, rental and freight of any instruments or equipment required to be provided by the Consultant/ bidder for the purposes of the Services;</p> <p>(6) cost of printing and dispatching of the reports to be produced for the Services;</p> <p>(7) other allowances where applicable and provisional or fixed sums (if any); and</p> <p>(8) cost of such further items required for purposes of the Services not covered in the foregoing.</p>
14.2	Consultant/ bidder to state local cost in Ngultrum: Yes
15.1	<p>Amounts payable by the Procuring Agency to the Consultant/ bidder under the Contract to be subject to local taxation: Yes</p> <p>If affirmative, the Procuring Agency will</p> <p>(i) The consultant/ bidder will pay local taxes without reimbursement by the client</p>
16.3	The Consultant/ bidder must submit one original and one copy of the Technical Proposal, and one original Financial Proposal. The required bids in PDF format shall be submitted via email with password protected or in hard copies in sealed envelopes. The bidders will be asked to provide the password for your PDF document before the opening of technical and financial bids on the respective opening dates.

16.7	<p>The Proposal submission address is: Chief Executive Officer Bhutan Lottery Ltd. Phuentsholing: Bhutan Post Box No: 51</p> <p>Email: tender@bll.bt</p> <p>Proposals must be submitted no later than the following date and time: 2nd November 2020 at 2:30 pm and will be opened at 3:00 pm in BLL</p>																																										
20.1	<p>Criteria, sub-criteria and the points system for the evaluation of Technical Proposals are:</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 80%;"></th> <th style="text-align: right; width: 20%;">Points</th> </tr> </thead> <tbody> <tr> <td>(i) Specific experience of the Consultant/ bidder relevant to the assignment: (10)</td> <td style="text-align: right;">(10)</td> </tr> <tr> <td>(ii) Adequacy of the proposed methodology and work plan in responding to the Terms of Reference:</td> <td></td> </tr> <tr> <td style="padding-left: 40px;">a) Technical approach and methodology</td> <td style="text-align: right;">(5)</td> </tr> <tr> <td style="padding-left: 40px;">b) Implementation/Work plan</td> <td style="text-align: right;">(5)</td> </tr> <tr> <td>Total points for criterion (ii):</td> <td style="text-align: right;">(10)</td> </tr> <tr> <td>(iii) Technical evaluation of the e-Lottery System specified in Terms of Reference:</td> <td></td> </tr> <tr> <td style="padding-left: 40px;">a) Lottery Gaming System and Central Configuration</td> <td style="text-align: right;">(15)</td> </tr> <tr> <td style="padding-left: 40px;">b) Online & Retail Functionality</td> <td style="text-align: right;">(5)</td> </tr> <tr> <td style="padding-left: 40px;">c) Retailer Terminal System</td> <td style="text-align: right;">(5)</td> </tr> <tr> <td style="padding-left: 40px;">d) Software Control and Data Management</td> <td style="text-align: right;">(15)</td> </tr> <tr> <td style="padding-left: 40px;">e) Data Center Facilities and Disaster Recovery Plan</td> <td style="text-align: right;">(10)</td> </tr> <tr> <td style="padding-left: 40px;">f) Services, Operation and Testing</td> <td style="text-align: right;">(10)</td> </tr> <tr> <td>Total points for criterion (iii):</td> <td style="text-align: right;">(60)</td> </tr> <tr> <td>(iv) Key professional staff qualifications and competence for the assignment</td> <td style="text-align: right;">(10)</td> </tr> <tr> <td>(v) Suitability of the transfer of knowledge (training) program:</td> <td></td> </tr> <tr> <td style="padding-left: 40px;">a) Relevance of training program</td> <td style="text-align: right;">(5)</td> </tr> <tr> <td style="padding-left: 40px;">b) Training approach and methodology</td> <td style="text-align: right;">(2.5)</td> </tr> <tr> <td style="padding-left: 40px;">c) Qualifications of experts and trainers</td> <td style="text-align: right;">(2.5)</td> </tr> <tr> <td>Total points for criterion (v):</td> <td style="text-align: right;">(10)</td> </tr> <tr> <td colspan="2" style="text-align: right;">Total Points: (100)</td> </tr> </tbody> </table>		Points	(i) Specific experience of the Consultant/ bidder relevant to the assignment: (10)	(10)	(ii) Adequacy of the proposed methodology and work plan in responding to the Terms of Reference:		a) Technical approach and methodology	(5)	b) Implementation/Work plan	(5)	Total points for criterion (ii):	(10)	(iii) Technical evaluation of the e-Lottery System specified in Terms of Reference:		a) Lottery Gaming System and Central Configuration	(15)	b) Online & Retail Functionality	(5)	c) Retailer Terminal System	(5)	d) Software Control and Data Management	(15)	e) Data Center Facilities and Disaster Recovery Plan	(10)	f) Services, Operation and Testing	(10)	Total points for criterion (iii):	(60)	(iv) Key professional staff qualifications and competence for the assignment	(10)	(v) Suitability of the transfer of knowledge (training) program:		a) Relevance of training program	(5)	b) Training approach and methodology	(2.5)	c) Qualifications of experts and trainers	(2.5)	Total points for criterion (v):	(10)	Total Points: (100)	
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	The minimum technical score (St) required to pass is: 65
21.4	The single currency for price conversions is Bhutanese Ngultrum (BTN) . The source of official selling rates is the Royal Monetary Authority of Bhutan . The date of exchange rates is: Date of opening of financial proposal
21.5	The formula for determining the financial scores is the following: $S_f = 100 \times F_m / F$, in which S_f is the financial score, F_m is the lowest price and F the price of the proposal under consideration. The weights given to the Technical and Financial Proposals are: T = 0.6, and P = 0.4
22.1	Expected date and address for contract negotiations: Within one week of award finalization
28.6	Expected date for commencement of consulting services:- After negotiations is completed at: Bhutan Lottery Limited

Section 3. Technical Proposal - Standard Forms

[Comments in brackets [] provide guidance to the shortlisted Consultant/ bidders for the preparation of their Technical Proposals; they should not appear on the Technical Proposals to be submitted.]

Refer to Reference paragraph 13.1 of the Data Sheet for format of Technical Proposal to be submitted, and paragraph 13.1 of Section 2 of the RFP for Standard Forms required and number of pages recommended.

- TECH-1 Technical Proposal Submission Form
- TECH-2 Consultant/ bidder's Organization and Experience
 - A. Consultant/ bidder's Organization
 - B. Consultant/ bidder's Experience
- TECH-3 Comments or Suggestions on the Terms of Reference and on Counterpart Staff and Facilities to be provided by the Procuring Agency
 - A. On the Terms of Reference
 - B. On the Counterpart Staff and Facilities
- TECH-4 Description of the Approach, Methodology and Work Plan for Performing the Assignment
- TECH-5 Team Composition and Task Assignments
- TECH-6 Curriculum Vitae (CV) for Proposed Professional Staff
- TECH-7 Staffing Schedule
- TECH-8 Work Schedule
- TECH-9 Drawings/Specifications (if applicable)
- TECH-10 Integrity Pact Statement

FORM TECH-1 TECHNICAL PROPOSAL SUBMISSION FORM

[*Location, Date*]

To: [Name and address of Procuring Agency]

Dear Sirs:

We, the undersigned, offer to provide the Consulting Services for [*Insert title of assignment*] in accordance with your Request for Proposal dated [*Insert Date*] and our Proposal. We are hereby submitting our Proposal, which includes this Technical Proposal, and a Financial Proposal sealed in a separate envelope⁸.

We are submitting our Proposal in association with: [*Insert a list with full name and address of each associated Consultant/ bidder*]⁹

We hereby declare that all the information and statements made in this Proposal are true and accept that any misinterpretation contained in it may lead to our disqualification. In this regard you may contact any of our previous Employers or the previous Employers of any of our sub-consultant/ bidders or any of the employees thereof for further information.

If negotiations are held during the period of validity of the Proposal, i.e., before the date indicated in ITC Reference 7.1 of the Data Sheet, we undertake to negotiate on the basis of the proposed staff. Our Proposal is binding upon us and subject to the modifications resulting from Contract negotiations.

We undertake, if our Proposal is accepted, to initiate the Consulting Services related to the assignment not later than the date indicated in ITC Reference 28.6 of the Data Sheet and to comply with all the provisions of the Contract.

We understand you are not bound to accept any Proposal you receive.

We remain,

Yours sincerely,

Authorized Signature [*In full and initials*]: _____

Name and Title of Signatory: _____

Name of Firm: _____

Address: _____

-
- 8 *[In case paragraph Reference 13.1 of the Data Sheet requires submission of a Technical Proposal only, replace this sentence with: “We are hereby submitting our Proposal, which includes this Technical Proposal only.”]*
- 9 *[Delete in case no association is foreseen.]*

FORM TECH-2 CONSULTANT/ BIDDER’S ORGANIZATION AND EXPERIENCE

A - Consultant/ bidder’s Organization

[Provide here a brief (two pages) description of the background and organization of your firm/entity and each associate for this assignment.]

B - Consultant/ bidder's Experience

[Using the format below, provide information on each assignment for which your firm, and each associate for this assignment, was legally contracted either individually as a corporate entity or as one of the major companies within an association, for carrying out consulting services similar to the ones requested under this assignment. Use 20 pages maximum, listing in the order of most recent first.]

Assignment name:	Approx. value of the contract (in BTN):
Procuring Agency :	Duration of assignment (months):
Address:	Total number of staff months of the assignment:
	Approximate value of the services provided by your firm under the contract (BTN):
Start date (month/year):	N ^o of professional staff-months provided by associated Consultant/ bidders:
Completion date (month/year):	
Name of associated Consultant/ bidders, if any:	Name of senior professional staff of your firm involved and functions performed (indicate most significant profiles such as Project Director/Coordinator, Team Leader):
Narrative description of Project:	
Description of actual services provided by your staff within the assignment:	

Firm's Name: _____

**FORM TECH-3 COMMENTS OR SUGGESTIONS ON THE TERMS OF REFERENCE AND ON
COUNTERPART STAFF AND FACILITIES TO BE PROVIDED BY THE PROCURING AGENCY**

A - On the Terms of Reference

[Present and justify here any modifications or improvement to the Terms of Reference you are proposing to improve performance in carrying out the assignment (such as deleting some activity you consider unnecessary, or adding another, or proposing a different phasing of the activities). Such suggestions should be concise and to the point, and incorporated in your Proposal.]

B - On Counterpart Staff and Facilities

[Comment here on counterpart staff and facilities to be provided by the Procuring Agency according to paragraph Reference 1.4 of the Data Sheet including: administrative support, office space, local transportation, equipment, data, etc.]

**FORM TECH-4 DESCRIPTION OF THE APPROACH, METHODOLOGY AND WORK PLAN
FOR PERFORMING THE ASSIGNMENT**

(For small or very simple assignments the Procuring Agency should omit the following text in *Italic*)

[Technical approach, methodology and work plan are key components of the Technical Proposal. You are suggested to present your Technical Proposal (40 pages, inclusive of charts and diagrams) divided into the following three chapters:

- a) Technical Approach and Methodology,*
- b) Work Plan, and*
- c) Organization and Staffing,*

*a) **Technical Approach and Methodology.** In this chapter you should explain your understanding of the objectives of the assignment, approach to the services, methodology for carrying out the activities and obtaining the expected output, and the degree of detail of such output. You should highlight the problems being addressed and their importance, and explain the technical approach you would adopt to address them. You should also explain the methodologies you propose to adopt and highlight the compatibility of those methodologies with the proposed approach.*

*b) **Work Plan.** In this chapter you should propose the main activities of the assignment, their content and duration, phasing and interrelations, milestones (including interim approvals by the Procuring Agency), and delivery dates of the reports. The proposed work plan should be consistent with the technical approach and methodology, showing understanding of the TOR and ability to translate them into a feasible working plan. A list of the final documents, including reports, drawings and tables to be delivered as final output, should be included here. The work plan should be consistent with the Work Schedule of Form TECH-8.*

*c) **Organization and Staffing.** In this chapter you should propose the structure and composition of your team. You should list the main disciplines of the assignment, the key expert responsible, and proposed technical and support staff.]*

FORM TECH-6 CURRICULUM VITAE (CV) FOR PROPOSED PROFESSIONAL STAFF

1. **Proposed Position** [*only one candidate shall be nominated for each position*]: _____

2. **Name of Firm** [*Insert name of firm proposing the staff*]: _____

3. **Name of Staff** [*Insert full name*]: _____

4. **Date of Birth:** _____ **Nationality:** _____

5. **Education** [*Indicate college/university and other specialized education of staff member, giving names of institutions, degrees obtained, and dates of obtainment*]: _____

6. **Membership of Professional Associations:** _____

7. **Other Training** [*Indicate significant training since degrees under 5 - Education were obtained*]: _____

8. **Countries of Work Experience:** [*List countries where staff has worked in the last ten years*]: _____

9. **Languages** [*For each language indicate proficiency: good, fair, or poor in speaking, reading, and writing*]: _____

10. **Employment Record** [*Starting with present position, list in reverse order every employment held by staff member since graduation, giving for each employment (see format herebelow): dates of employment, name of employing organization, positions held.*]:

From [Year]: _____ To [Year]: _____

Employer: _____

Positions held: _____

<p>11. Detailed Tasks Assigned</p> <p>[<i>List all tasks to be performed under this assignment</i>]</p>	<p>12. Work Undertaken that Best Illustrates Capability to Handle the Tasks Assigned</p> <p>[<i>Among the assignments in which the staff has been involved, indicate the following information for those assignments that best illustrate staff capability to handle the tasks listed under point 11.</i>]</p> <p>Name of assignment or project: _____</p> <p>Year: _____</p>
--	--

	Location: _____ Procuring Agency or Client: _____ Main project features: _____ Positions held: _____ Activities performed: _____
--	--

13. Certification:

I, the undersigned, certify that to the best of my knowledge and belief, this CV correctly describes me, my qualifications and my experience. I understand that any willful misstatement herein may lead to my disqualification or dismissal, if engaged.

_____ Date: _____
[Signature of staff member or authorized representative of the staff] Day/Month/Year

Full name of authorized representative: _____

FORM TECH-7 STAFFING SCHEDULE¹

N°	Name of Staff	Staff input (in the form of a bar chart) ²													Total staff-month input			
		1	2	3	4	5	6	7	8	9	10	11	12	n	Home	Field ³	Total	
Foreign																		
1		[Home]																
		[Field]																
2																		
N																		
													Subtotal					
Local																		
1		[Home]																
		[Field]																
2																		
N																		
													Subtotal					
													Total					

- 1 For Professional Staff the input should be indicated individually; for Support Staff it should be indicated by category (e.g.: draftsmen, clerical staff, etc.).
- 2 Months are counted from the start of the assignment. For each staff indicate separately staff input for home and field work.
- 3 Field work means work carried out at a place other than the Consultant/ bidder's home office.

Full time input
 Part time input

FORM TECH-8 WORK SCHEDULE

N°	Activity ¹	Months ²												
		1	2	3	4	5	6	7	8	9	10	11	12	n
1														
2														
3														
4														
5														
n														

- 1 Indicate all main activities of the assignment, including delivery of reports (e.g.: inception, interim, and final reports), and other benchmarks such as Procuring Agency approvals. For phased assignments indicate activities, delivery of reports, and benchmarks separately for each phase.
- 2 Duration of activities shall be indicated in the form of a bar chart.

FORM TECH-9 DRAWINGS/SPECIFICATIONS (IF APPLICABLE)

[Provide here a list of drawings and specifications (if any) contained within the Technical Proposal, and annex these hereto.]

FORM TCH-10 INTEGRITY PACT**INTEGRITY PACT****1 General:**

Whereas *Phenphay R. Drukpa* representing the Bhutan Lottery Limited, Royal Government of Bhutan, hereinafter referred to as the “**Employer**” on one part, and representing M/s....., hereinafter referred to as the “**Bidder**” on the other part hereby execute this agreement as follows:

This agreement shall be a part of the standard bidding document, which shall be signed by both the parties at the time of purchase of bidding documents and submitted along with the tender document. This IP is applicable only to “**large**” scale works, goods and services, the threshold of which will be announced by the government from time to time. The signing of the IP shall not apply to framework contracting such as annual office supplies etc.

2 Objectives:

Whereas, the Employer and the Bidder agree to enter into this agreement, hereinafter referred to as IP, to avoid all forms of corruption or deceptive practice by following a system that is fair, transparent and free from any influence/unprejudiced dealings in the **bidding process**⁸ and **contract administration**⁹, with a view to:

- 2.1 Enabling the Employer to obtain the desired contract at a reasonable and competitive price in conformity to the defined specifications of the works or goods or services; and
- 2.2 Enabling bidders to abstain from bribing or any corrupt practice in order to secure the contract by providing assurance to them that their competitors will also refrain from bribing and other corrupt practices.

3. Scope:

The validity of this IP shall cover the bidding process and contract administration period.

4. Commitments of the Employer:

The Employer Commits itself to the following:-

- 4.1 The Employer hereby undertakes that no officials of the Employer, connected directly or indirectly with the contract, will demand, take a promise for or accept,

⁸ Bidding process, for the purpose of this IP, shall mean the procedures covering tendering process starting from bid preparation, bid submission, bid processing, and bid evaluation.

⁹ Contract administration, for the purpose of this IP, shall mean contract award, contract implementation, unauthorized sub-contracting and contract handing/taking over.

directly or through intermediaries, any bribe, consideration, gift, reward, favor or any material or immaterial benefit or any other advantage from the Bidder, either for themselves or for any person, organization or third party related to the contract in exchange for an advantage in the bidding process and contract administration.

4.2 The Employer further confirms that its officials shall not favor any prospective bidder in any form that could afford an undue advantage to that particular bidder in the bidding process and contract administration and will treat all Bidders alike.

4.3 Officials of the Employer, who may have observed or noticed or have reasonable suspicion shall report to the head of the employing agency or an appropriate government office any violation or attempted violation of clauses 4.1 and 4.2.

4.4 Following report on violation of clauses 4.1 and 4.2 by official (s), through any source, necessary disciplinary proceedings, or any other action as deemed fit, including criminal proceedings shall be initiated by the Employer and such a person shall be debarred from further dealings related to the bidding process and contract administration.

5. Commitments of Bidders

The Bidder commits himself/herself to take all measures necessary to prevent corrupt practices, unfair means and illegal activities during any stage of the bidding process and contract administration in order to secure the contract or in furtherance to secure it and in particular commits himself/herself to the following :-

5.1 The Bidder shall not offer, directly or through intermediaries, any bribe, gift, consideration, reward, favor, any material or immaterial benefit or other advantage, commission, fees, brokerage or inducement to any official of the Employer, connected directly or indirectly with the bidding process and contract administration, or to any person, organization or third party related to the contract in exchange for any advantage in the bidding process and contract administration.

5.2 The Bidder shall not collude with other parties interested in the contract to manipulate in whatsoever form or manner, the bidding process and contract administration.

5.3 If the bidder(s) have observed or noticed or have reasonable suspicion that the provisions of the IP have been violated by the procuring agency or other bidders, the bidder shall report such violations to the head of the procuring agency.

6. Sanctions for Violation:

The breach of any of the aforesaid provisions shall result in administrative charges or penal actions as per the relevant rules and laws.

6.1 The breach of the IP or commission of any offence (forgery, providing false information, mis-representation, providing false/fake documents, bid rigging, bid

steering or coercion) by the Bidder, or any one employed by him, or acting on his/her behalf (whether with or without the knowledge of the Bidder), shall be dealt with as per the terms and conditions of the contract and other provisions of the relevant laws, including Debarment Rules.

6.2 The breach of the IP or commission of any offence by the officials of the procuring agency shall be dealt with as per the rules and laws of the land in vogue.

7. Monitoring and Administration:

7.1 The respective procuring agency shall be responsible for administration and monitoring of the IP as per the relevant laws.

7.2 The bidder shall have the right to appeal as per the arbitration mechanism contained in the relevant rules.

We, hereby declare that we have read and understood the clauses of this agreement and shall abide by it.

The parties hereby sign this Integrity Pact at (*place*) _____ on (*date*) _____

Affix
Legal
Stamp

Affix
Legal
Stamp

EMPLOYER

BIDDER/REPRESENTATIVE

CID :

CID :

Witness: _____

Witness: _____

Name:

Name:

CID :

CID :

Section 4. Financial Proposal - Standard Forms

[Comments in brackets [] provide guidance to the Consultant/ bidders for the preparation of their Financial Proposals; they should not appear on the Financial Proposals to be submitted.]

Financial Proposal Standard Forms shall be used for the preparation of the Financial Proposal according to the instructions provided under paragraph 14 of Section 2. Such Forms are to be used whichever is the selection method indicated in the fourth paragraph of the Letter of Invitation.

[The Appendix “Financial Negotiations - Breakdown of Remuneration Rates” is only to be used for financial negotiations when Quality-Based Selection, Selection Based on Qualifications, or the Single-Source Selection method is adopted, according to the indications provided under paragraph 24 of Section 2.]

FIN-1 Financial Proposal Submission Form

FORM FIN-1 FINANCIAL PROPOSAL SUBMISSION FORM

[Location, Date]

To: Chief Executive Officer
Bhutan Lottery Ltd.
Phuentsholing, Bhutan

Dear Sirs:

We, the undersigned, offer to provide the Consulting Services for Development, Installation, Operational support and maintenance of an e-lottery system and e-lottery games (Terminal and interactive) in accordance with your Request for Proposal dated [Insert Date] and our Technical Proposal. Our attached Financial Proposal is for,

1. Development and installation cost of the software _____
2. Variable charges (percentage on gross sale) _____

We understand you are not bound to accept any Proposal you receive.

We remain,

Yours sincerely,

Authorized Signature [*In full and initials*]: _____

Name and Title of Signatory: _____

Name of Firm: _____

Address: _____

Standard Form: Letter of Intent
(Letterhead paper of the Employer)

Notes on standard form of letter of Intent

This issuance of Letter of Intent is the information of the selection of the Proposal of the successful Consultant/ bidder by the Employer and for providing information to other unsuccessful Consultant/ bidders who participated in the Proposal as regards the outcome of the procurement process

The Employer shall allow 10 days as described in ITC 28.2 between this letter of intent and letter of acceptance to allow aggrieved Consultant/ bidders to challenge your decision if they feel they have treated unfairly.

(Insert date)

To:-----[Name and address of the Consultant/ bidder]

This is to notify you that, it is our intention to award the contract for your proposal dated [Insert date] for provision of (modify as appropriate)-----
-----[Insert name of the contract and identification number, as given in the Datasheet] for the Contract Price of-----[Insert name of currency] as corrected and modified[if any corrections] in accordance with the Instructions to Consultant/ bidder.

Authorized Signature: -----

Name and Title of Signatory:-----

Name of Agency: -----

CC:
[Insert name and address of all other Consultant/ bidders who submitted the Proposals]

Section 5: Terms of Reference (TOR)

The TOR for Development, Installation, Operational support and maintenance of an e-lottery system and e-lottery games (Terminal and interactive) is attached.

Section 6: Standard Forms of Contract

[Text in brackets provides guidance to the Procuring Agency for the preparation of the RFP; it should not appear on the final RFP to be delivered to the Consultant/ bidders]

Four standard forms of Contract are provided:

Annex II: Standard Form of Contract: Consulting Services (Lump-Sum)

Annex IV: Standard Form of Contract: Small Assignments – Lump Sum Payments

Circumstances under which these contracts are used are described in their prefaces. The lump-sum remuneration type is likely to be used more frequently under QCBS, Fixed-Budget Selection, and Least-Cost Selection, whereas the time-based type is more likely to be used under QBS.]

The attached Form of Contract shall be used.

ANNEX II. Consulting Services: Lump-Sum Contract

STANDARD FORM OF CONTRACT

Consulting Services
Lump-Sum

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Preface

1. This standard Contract for Consulting Services has been prepared in line with the RGoB 2009 Procurement Rules and Regulations and is to be used by implementing agencies (referred to hereafter as Procuring Agencies) when they hire a consulting firm (referred to hereinafter as the Consultant/ bidder) to provide services paid for on a lump-sum basis.
2. The Contract includes four parts:
 - Form of Contract
 - General Conditions of Contract
 - Special Conditions of Contract
 - Appendices
3. The Procuring Agency using this standard Contract should not alter the General Conditions. Any adjustment to meet project features should be made only in the Special Conditions.
4. Lump-sum Contracts are normally used when definition of the tasks to be performed is clear and unambiguous, when the commercial risks taken by the Consultant/ bidder are relatively low, and when therefore such Consultant/ bidder is prepared to perform the assignment for an agreed predetermined lump-sum price. Such price is arrived at on the basis of inputs - including rates - provided by the Consultant/ bidder. The Procuring Agency agrees to pay the Consultant/ bidder according to a schedule of payments linked to the delivery of certain outputs, for example reports. A major advantage of the lump-sum Contract is the simplicity of its administration, the Procuring Agency having only to be satisfied with the outputs without monitoring the staff inputs. Studies are usually carried out on a lump-sum basis; for example, surveys, master plans, economic, sector, simple feasibility and engineering studies.

CONTRACT FOR CONSULTING SERVICES

Lump-Sum

between

Bhutan Lottery Limited

and

[name of the Consultant/ bidder]

Dated:

I. Form of Contract LUMP-SUM

(Text in brackets [] is optional; all notes should be deleted in final text)

This CONTRACT (hereinafter called the “Contract”) is made the.....day of the month of, 2017, between, on the one hand, *Bhutan lottery Limited* (hereinafter called the “Procuring Agency”) and, on the other hand, (hereinafter called the “Consultant/ bidder”).

[Note: If the Consultant/ bidder consist of more than one entity, the above should be partially amended to read as follows: “...(hereinafter called the “Procuring Agency”) and, on the other hand, a joint venture/consortium/association consisting of the following entities, each of which will be jointly and severally liable to the Procuring Agency for all the Consultant/ bidder’s obligations under this Contract, namely, [name of Consultant/ bidder] and [name of Consultant/ bidder] (hereinafter called the “Consultant/ bidder”).]

WHEREAS

- (a) the Procuring Agency has requested the Consultant/ bidder to provide certain consulting services as defined in this Contract (hereinafter called the “Services”);
- (b) the Consultant/ bidder, having represented to the Procuring Agency that it has the required professional skills, personnel and technical resources, has agreed to provide the Services on the terms and conditions set forth in this Contract;

NOW THEREFORE the parties hereto hereby agree as follows:

1. The following documents attached hereto shall be deemed to form an integral part of this Contract:
 - II. The General Conditions of Contract;
 - III. The Special Conditions of Contract;
 - IV. The following Appendices: *[Note: If any of these Appendices are not used, the words “Not Used” should be inserted below next to the title of the Appendix]*
 - Appendix A: Description of Services _____
 - Appendix B: Reporting Requirements _____
 - Appendix C: Key Personnel and Sub-Consultant/ bidders _____
 - Appendix D: Breakdown of Contract Price in Foreign Currency _____ not used
 - Appendix E: Breakdown of Contract Price in Local Currency _____
 - Appendix F: Services and Facilities Provided by the Procuring Agency__
 - Appendix G: Form of Advance Payment Guarantee _____
2. The mutual rights and obligations of the Procuring Agency and the Consultant/ bidder shall be as set forth in the Contract, in particular:
 - (a) the Consultant/ bidder shall carry out the Services in accordance with the provisions of the Contract; and
 - (b) the Procuring Agency shall make payments to the Consultant/ bidder in accordance with the provisions of the Contract.

IN WITNESS WHEREOF, the Parties hereto have caused this Contract to be signed in their respective names as of the day and year first above written.

For and on behalf of *[name of Procuring Agency]*

[Authorized Representative]

For and on behalf of *[name of Consultant/ bidder]*

[Authorized Representative]

[Note: If the Consultant/ bidder consists of more than one entity, all these entities should appear as signatories, e.g., in the following manner:]

For and on behalf of each of the Members of the Consultant/ bidder

[name of member]

[Authorized Representative]

[name of member]

[Authorized Representative]

etc

II. General Conditions of Contract

1. GENERAL PROVISIONS

1.1 Definitions

Unless the context otherwise requires, the following terms whenever used in this Contract have the following meanings:

(a) Applicable Laws of Bhutan: The laws and any other instruments having the force of law in Bhutan

(b) Consultant/ bidder: An individual or a legal entity entering into a Contract to provide the Services to the Procuring Agency under the Contract.

(c) Consulting Services: Expert services of a professional and/or intellectual nature, provided by the Consultant/ bidder based on specialized expertise and skills, in areas including, but not limited to, preparing and implementing projects, conducting training, providing technical assistance, conducting research and analysis, preparing designs, supervising the execution of construction and other works, undertaking studies, advising Procuring Agencies, building capacity, preparing tender documents, supervising procurement, and others.

(d) Contract: The formal agreement in writing, including the General Conditions (GC), the Special Conditions (SC), and the Appendices, entered into between the Procuring Agency and the Consultant/ bidder, on acceptable terms and conditions and which are in compliance with all the relevant provisions of the laws of the Kingdom of Bhutan, for the provision of the required Consulting Services.

(e) Contract Price: The price to be paid for the performance of the Services, in accordance with Clause 6;

(f) Day: A calendar day.

(g) Effective Date: The date on which this Contract comes into force and effect pursuant to Clause GC 2.1.

(h) Foreign Currency: Any currency other than Bhutanese Ngultrum (BTN).

(i) GC: These General Conditions of Contract.

(j) Government: The Royal Government of Bhutan (RGoB).

(k) In writing: Communicated in written form (eg. by mail, electronic mail, fax, telex) with proof of receipt.

(l) Local Currency: Bhutanese Ngultrum (BTN).

(m) Member: Any of the entities that make up the joint venture/consortium/association; and "Members" means all these entities.

(n) Party: The Procuring Agency or the Consultant/ bidder, as the case may be, and "Parties" means both of them.

(o) Personnel: Professional and support staff provided by the Consultant/ bidder or by any Sub-Consultant/ bidder and assigned to perform the Services or any part thereof; “Foreign Personnel” means such professional and support staff who at the time of being so provided have their domicile outside Bhutan; “Local Personnel” means such professional and support staff who at the time of being so provided have their domicile in Bhutan; and “Key Personnel” means the Personnel referred to in Clause GC 4.2(a).

(p) Procuring Agency: RGoB agency with which the selected Consultant/ bidder signs the Contract for Services.

(q) Reimbursable Expenses: All assignment-related costs other than Consultant/ bidder’s remuneration.

(r) SC: The Special Conditions of Contract by which the GC may be amended or supplemented.

(s) Services: The work to be performed by the Consultant/ bidder pursuant to this Contract, as described in Appendix A hereto.

(t) Sub-Consultant/ bidder: Any person or entity to whom/which the Consultant/ bidder subcontracts any part of the Services.

(u) Third Party: Any person or entity other than the Government, the Procuring Agency, the Consultant/ bidder or a Sub-Consultant/ bidder.

1.2 Law Governing Contract This Contract, its meaning and interpretation, and the relation between the Parties shall be governed by the Applicable Laws of Bhutan.

1.3 Language This Contract has been executed in the language specified in the SC, which shall be the binding and controlling language for all matters relating to the meaning or interpretation of this Contract.

1.4 Notices

1.4.1 Any notice, request or consent required or permitted to be given or made pursuant to this Contract shall be in writing. Any such notice, request or consent shall be deemed to have been given or made when delivered in person to an authorized representative of the Party to whom the communication is addressed, or when sent to such Party at the address specified in the SC.

1.4.2 A Party may change its address for notice hereunder by giving the other Party notice in writing of such change to the address specified in the SC.

1.5 Location The Services shall be performed at such locations as are specified in Appendix A hereto and, where the location of a particular task is not so specified, at such locations, whether in Bhutan or elsewhere, as the Procuring Agency may approve.

1.6 Authority of Member Charge In case the Consultant/ bidder consists of a joint venture/consortium/ association of more than one entity, the Members hereby authorize the entity specified in the SC to act on their behalf in exercising all the Consultant/ bidder’s rights and obligations towards the Procuring Agency under this Contract, including without limitation the receiving

of instructions and payments from the Procuring Agency.

- 1.7 Authorized Representatives** Any action required or permitted to be taken, and any document required or permitted to be executed under this Contract by the Procuring Agency or the Consultant/ bidder may be taken or executed by the officials specified in the SC.

1.8 Taxes and Duties **and** The Consultant/ bidder, Sub-Consultant/ bidders, and the Personnel of both of them shall pay such indirect taxes, duties, fees and other impositions levied under the Applicable Laws of Bhutan as are specified in the SC, the amount of which is deemed to have been included in the Contract Price.

1.9 Fraud and Corruption
1.9.1 Definitions

It is RGoB policy to require that Consultant/ bidders, their Sub-Consultant/ bidders and the Personnel of both of them observe the highest standards of ethics during the execution of the Contract.⁵⁰ In pursuance of this policy, the RGoB:

(a) defines, for the purposes of this provision, the terms set forth below as follows:

- (i) “corrupt practice”⁵¹ means the offering, giving, receiving or soliciting, directly or indirectly, of anything of value⁵² to influence improperly the actions of another party;
- (ii) “fraudulent practice”⁵³ means any intentional act or omission, including a misrepresentation, that knowingly or recklessly misleads, or attempts to mislead, a party to obtain a financial or other benefit or to avoid an obligation;
- (iii) “collusive practice”⁵⁴ means an arrangement between two or more parties designed to achieve an improper purpose, including to influence improperly the actions of another party;
- (iv) “coercive practice”⁵⁵ means impairing or harming, or threatening to impair or harm, directly or indirectly, any party or the property of the party to influence improperly the actions of a party;
- (v) "obstructive practice" means:
 - (aa) deliberately destroying, falsifying, altering or concealing of evidence material to the investigation or making false statements to investigators in order materially to impede any investigation into allegations of a corrupt, fraudulent, coercive or collusive practice; and/or threatening, harassing or intimidating any party to prevent it from disclosing its knowledge of matters

⁵⁰ In this context, any action taken by a Consultant/ bidder, Sub-Consultant/ bidder or the Personnel of either of them to influence the process of contract execution for undue advantage is improper.

⁵¹ “another party” refers to a public official acting in relation to the procurement process or contract execution. In this context, “public official” includes staff and employees of any organizations (including any institutions providing finance for the Services) taking or reviewing procurement decisions.

⁵² “anything of value” includes, but is not limited to, any gift, loan, fee, commission, valuable security or other asset or interest in an asset; any office, employment or contract; any payment, discharge or liquidation of any loan, obligation or other liability whatsoever, whether in whole or in part; any other services, favour or advantage, including protection from any penalty or disability incurred or apprehended or from any action or proceeding of a disciplinary or penal nature, whether or not already instituted and including the exercise or the forbearance from the exercise of any right or any official power or duty.

⁵³ a “party” refers to a public official; the terms “benefit” and “obligation” relate to the procurement process or contract execution; and the “act or omission” is intended to influence the procurement process or contract execution.

⁵⁴ “parties” refers to participants in the procurement process (including public officials) and an “improper purpose” includes attempting to establish proposal prices at artificial, non competitive levels.

⁵⁵ a “party” refers to a participant in the procurement process or contract execution.

relevant to the investigation or from pursuing the investigation; or

- (bb) acts intended materially to impede the exercise of the inspection and audit rights of the Procuring Agency or any organization or person appointed by the Procuring Agency and/or any relevant RGoB agency provided for under Clause GC 3.8 (b).

1.9.2 Measures to be Taken (b) will cancel the Contract if it at any time determines that representatives of the Consultant/ bidder, any Sub-Consultant/ bidder, the personnel of either of them, or any other participant in the procurement and Contract execution process, were engaged in corrupt, fraudulent, collusive, coercive or obstructive practices during the procurement and selection process or the execution of the Contract;

(c) will sanction a Consultant/ bidder, Sub-Consultant/ bidder or the personnel of either of them, including declaring them ineligible, either indefinitely or for a stated period of time, to be awarded an RGoB-financed contract if at any time it determines that they have, directly or through an agent, engaged in corrupt, fraudulent, collusive, coercive or obstructive practices in competing for, or in executing, an RGoB-financed contract;

(d) will report the case of corrupt, fraudulent, collusive, coercive or obstructive practice to the relevant RGoB agencies, including but not limited to the Anticorruption Commission (ACC) of Bhutan, for necessary action in accordance with the statutes and provisions of the relevant agency.

1.9.3 Commissions and Fees (e) will require the successful Consultant/ bidder to disclose any commissions or fees that may have been paid or are to be paid to agents, representatives or commission agents with respect to the selection process or execution of the Contract. The information disclosed must include at least the name and address of the agent, representative or commission agent, the amount and currency, and the purpose of the commission or fee.

2. COMMENCEMENT, COMPLETION, MODIFICATION AND TERMINATION OF CONTRACT

- 2.1 Effectiveness of Contract** This Contract shall come into force and effect on the date (the “Effective Date”) of the Procuring Agency’s notice to the Consultant/ bidder instructing the Consultant/ bidder to begin carrying out the Services. This notice shall confirm that the effectiveness conditions, if any, listed in the SC have been met.
- 2.2 Commencement of Services** The Consultant/ bidder shall begin carrying out the Services not later than the number of days after the Effective Date specified in the SC.
- 2.3 Expiration of Contract** Unless terminated earlier pursuant to Clause GC 2.6 hereof, this Contract shall expire at the end of such time period after the Effective Date as is specified in the SC.
- 2.4 Modifications** Any modification or variation of the terms and conditions of this

or Variations Contract, including any modification or variation of the scope of the Services, may only be made by written agreement between the Parties. However, each Party shall give due consideration to any proposals for modification or variation made by the other Party.

2.5 Force Majeure

2.5.1 Definition For the purposes of this Contract, “Force Majeure” means an event which is beyond the reasonable control of a Party and which makes a Party’s performance of its obligations under the Contract impossible or so impractical as to be considered impossible under the circumstances.

2.5.2 No Breach of Contract The failure of a Party to fulfill any of its obligations under the Contract shall not be considered to be a breach of, or default under, this Contract insofar as such inability arises from an event of Force Majeure, provided that the Party affected by such an event (a) has taken all reasonable precautions, due care and reasonable alternative measures in order to carry out the terms and conditions of this Contract, and (b) has informed the other Party as soon as possible about the occurrence of such an event.

2.5.3 Extension of Time Any period within which a Party shall, pursuant to this Contract, complete any action or task, shall be extended for a period equal to the time during which such Party was unable to perform such action as a result of Force Majeure.

2.5.4 Payments During the period of its inability to perform the Services as a result of an event of Force Majeure, the Consultant/ bidder shall be entitled to continue to be paid under the terms of this Contract, as well as to be reimbursed for additional costs reasonably and necessarily incurred by it during such period for the purposes of the Services and in reactivating the Service after the end of such period.

2.6 Termination

2.6.1 By the Procuring Agency The Procuring Agency may terminate this Contract in case of the occurrence of any of the events specified in paragraphs (a) through (f) of this Clause GC 2.6.1. In such an occurrence the Procuring Agency shall give not less than thirty (30) days written notice of termination to the Consultant/ bidder, or sixty (60) days in the case of the event referred to in paragraph (e) of this Clause GC 2.6.1.

- (a) If the Consultant/ bidder does not remedy a failure in the performance of its obligations under the Contract within thirty (30) days after being notified or within any further period as the Procuring Agency may have subsequently approved in writing.
- (b) If the Consultant/ bidder becomes insolvent or bankrupt.
- (c) If the Consultant/ bidder, in the judgment of the Procuring Agency has engaged in corrupt or fraudulent practices in competing for or in executing the Contract.
- (d) If, as the result of Force Majeure, the Consultant/ bidder is unable to perform a material portion of the Services for a period of not less than sixty (60) days.

- (e) If the Procuring Agency, in its sole discretion and for any reason whatsoever, decides to terminate this Contract.
- (f) If the Consultant/ bidder fails to comply with any final decision reached as a result of arbitration proceedings pursuant to Clause GC 8 hereof.

- 2.6.2 By the Consultant/ bidder** The Consultant/ bidder may terminate this Contract, by not less than thirty (30) days written notice to the Procuring Agency, such notice to be given after the occurrence of any of the events specified in paragraphs (a) through (c) of this Clause GC 2.6.2:
- (a) If the Procuring Agency fails to pay any money due to the Consultant/ bidder pursuant to this Contract and not subject to dispute pursuant to Clause GC 8 hereof within forty-five (45) days after receiving written notice from the Consultant/ bidder that such payment is overdue.
 - (b) If, as the result of Force Majeure, the Consultant/ bidder is unable to perform a material portion of the Services for a period of not less than sixty (60) days.
 - (c) If the Procuring Agency fails to comply with any final decision reached as a result of arbitration pursuant to Clause GC 8 hereof.
- 2.6.3 Payment upon Termination** Upon termination of this Contract pursuant to Clauses GC 2.6.1 or GC 2.6.2, the Procuring Agency shall make the following payments to the Consultant/ bidder:
- (a) payment pursuant to Clause GC 6 for Services satisfactorily performed prior to the effective date of termination;
 - (b) except in the case of termination pursuant to paragraphs (a) through (c) and (f) of Clause GC 2.6.1, reimbursement of any reasonable cost incident to the prompt and orderly termination of the Contract, including the cost of the return travel of the Personnel and their eligible dependents.

3. OBLIGATIONS OF THE CONSULTANT/ BIDDER

3.1 General

- 3.1.1 Standard of Performance** of The Consultant/ bidder shall perform the Services and carry out its obligations hereunder with all due diligence, efficiency and economy, in accordance with generally accepted professional standards and practices, and shall observe sound management practices, and employ appropriate technology and safe and effective equipment, machinery, materials and methods. The Consultant/ bidder shall always act, in respect of any matter relating to this Contract or to the Services, as faithful adviser to the Procuring Agency, and shall at all times support and safeguard the Procuring Agency's legitimate interests in any dealings with Sub-Consultant/ bidders or third Parties.

- 3.2 Conflict of Interests** of The Consultant/ bidder shall hold the Procuring Agency's interests paramount, without any consideration for future work, and strictly avoid conflict with other assignments or its own corporate interests.
- 3.2.1 Consultant/ bidder Not to Benefit from Commissions, Discounts, etc.** The payment of the Consultant/ bidder pursuant to Clause GC 6 shall constitute the Consultant/ bidder's only payment in connection with this Contract or the Services, and the Consultant/ bidder shall not accept for its own benefit any trade commission, discount or similar payment in connection with activities pursuant to this Contract or to the Services or in the discharge of its obligations under the Contract, and the Consultant/ bidder shall use its best efforts to ensure that the Personnel, any Sub-Consultant/ bidders, and agents of either of them similarly shall not receive any such additional payment.
- 3.2.2 Consultant/ bidder and Affiliates Not to be Otherwise Interested in Project** The Consultant/ bidder agrees that, during the term of this Contract and after its termination, the Consultant/ bidder and any entity affiliated with the Consultant/ bidder, as well as any Sub-Consultant/ bidders and any entity affiliated with such Sub-Consultant/ bidders, shall be disqualified from providing goods, works or services (other than consulting services) resulting from or directly related to the Consultant/ bidder's Services for the preparation or implementation of the project.
- 3.2.3 Prohibition of Conflicting Activities** The Consultant/ bidder shall not engage, and shall cause its Personnel as well as its Sub-Consultant/ bidders and their Personnel not to engage, either directly or indirectly, in any business or professional activities which would conflict with the activities assigned to them under this Contract.
- 3.3 Confidentiality** Except with the prior written consent of the Procuring Agency, the Consultant/ bidder and the Personnel shall not at any time communicate to any person or entity any confidential information acquired in the course of the Services, nor shall the Consultant/ bidder and the Personnel make public the recommendations formulated in the course of, or as a result of, the Services.
- 3.4 Insurance to be Taken Out by the Consultant/ bidder** The Consultant/ bidder (a) shall take out and maintain, and shall cause any Sub-Consultant/ bidders to take out and maintain, at their (or the Sub-Consultant/ bidders', as the case may be) own cost but on terms and conditions approved by the Procuring Agency, insurance against the risks, and for the coverage, as shall be specified in the SC; and (b) at the Procuring Agency's request, shall provide evidence to the Procuring Agency showing that such insurance has been taken out and maintained and that the current premiums have been paid.

- 3.6 Reporting Obligations**
- (a) The Consultant/ bidder shall submit to the Procuring Agency the reports and documents specified in Appendix B hereto, in the form, in the numbers and within the time periods set forth in the said Appendix.
 - (b) Final reports shall be delivered on CD ROM in addition to the hard copies specified in the said Appendix.
- 3.7 Documents Prepared by the Consultant/ bidder to be the Property of the Procuring Agency**
- (a) All plans, drawings, specifications, designs, reports, other documents and software submitted by the Consultant/ bidder under this Contract shall become and remain the property of the Procuring Agency, and the Consultant/ bidder shall, not later than upon termination or expiration of this Contract, deliver all such documents to the Procuring Agency, together with a detailed inventory thereof.
 - (b) The Consultant/ bidder may retain a copy of such documents and software. Restrictions about the future use of these documents, if any, shall be specified in the SC.
- 3.8 Accounting, Inspection and Auditing**
- The Consultant/ bidder:
- (a) shall keep accurate and systematic accounts and records in respect of the Services hereunder, in accordance with internationally accepted accounting principles and in such form and detail as will clearly identify all relevant time charges and costs, and the bases thereof, and
 - (b) shall periodically permit the Procuring Agency or its designated representative, for a period of up to five years from the expiration or termination of this Contract, to inspect the same and make copies thereof as well as to have them audited by auditors, if so required by the Procuring Agency.

4. CONSULTANT/ BIDDER'S PERSONNEL

- 4.1 Description of Personnel**
- The Consultant/ bidder shall employ and provide such qualified and experienced Personnel and Sub-Consultant/ bidders as are required to carry out the Services. The titles, agreed job descriptions, minimum qualifications and estimated periods of engagement in the carrying out of the Services of the Consultant/ bidder's Key Personnel are described in Appendix C. The Key Personnel and Sub-Consultant/ bidders listed by title as well as by name in Appendix C are hereby approved by the Procuring Agency.
- 4.2 Removal and/or Replacement of Personnel**
- (a) Except as the Procuring Agency may otherwise agree, no changes shall be made in the Key Personnel. If, for any reason beyond the reasonable control of the Consultant/ bidder, such as retirement, death, medical incapacity, among others, it becomes necessary to replace any of the Key Personnel, the Consultant/ bidder shall provide as a replacement a person of equivalent or better qualifications.
 - (b) If the Procuring Agency (i) finds that any of the Personnel have

committed serious misconduct or have been charged with having committed a criminal action, or (ii) has reasonable cause to be dissatisfied with the performance of any of the Personnel, then the Consultant/ bidder shall, at the Procuring Agency's written request specifying the grounds thereof, provide as a replacement a person with qualifications and experience acceptable to the Procuring Agency.

- (c) The Consultant/ bidder shall have no claim for additional costs arising out of or incidental to any removal and/or replacement of Personnel.

4.3 Resident Project Manager If required by the SC, the Consultant/ bidder shall ensure that at all times during the Consultant/ bidder's performance of the Services in Bhutan a resident project manager, acceptable to the Procuring Agency, shall take charge of the performance of the Services.

5. OBLIGATIONS OF THE PROCURING AGENCY

5.1 Assistance and Exemptions The Procuring Agency shall use its best efforts to ensure that the Government shall provide the Consultant/ bidder such assistance and exemptions as are specified in the SC.

5.2 Change in the Applicable Laws of Bhutan Related to Taxes and Duties If, after the date of this Contract, there is any change in the Applicable Laws of Bhutan with respect to taxes and duties which increases or decreases the cost incurred by the Consultant/ bidder in performing the Services, then the remuneration and reimbursable expenses otherwise payable to the Consultant/ bidder under this Contract shall be increased or decreased accordingly by agreement between the Parties, and corresponding adjustments shall be made to the amounts referred to in Clauses GC 6.2 (a) or (b), as the case may be.

5.3 Services, Facilities and Property (a) The Procuring Agency shall make available free of charge to the Consultant/ bidder the services, facilities and property listed in Appendix F at the times and in the manner specified in the said Appendix F.

(b) In case such services, facilities and property are not made available to the Consultant/ bidder as and when specified in Appendix F, the Parties shall agree on (i) any time extension that it may be appropriate to grant to the Consultant/ bidder for the performance of the Services, (ii) the manner in which the Consultant/ bidder shall procure any such services, facilities and property from other sources, and (iii) the additional payments, if any, to be made to the Consultant/ bidder as a result thereof pursuant to Clause GC 6.1 hereinafter.

6. PAYMENTS TO THE CONSULTANT/ BIDDER

6.1 Lump-Sum Payment The total payment due to the Consultant/ bidder shall not exceed the Contract Price which is an all inclusive fixed lump-sum covering all costs required to carry out the Services described in Appendix A. Except as provided in Clauses 5.2 and 5.3 (b), the Contract Price may

only be increased above the amounts stated in Clause 6.2 if the Parties have agreed to additional payments in accordance with Clause 2.4.

- 6.2 Contract Price** (a) The price payable in foreign currency/currencies is set forth in the SC.
- (b) The price payable in local currency is set forth in the SC.
- 6.3 Payment for Additional Services** For the purpose of determining the remuneration due for additional services as may be agreed under Clause 2.4, a breakdown of the lump-sum price is provided in Appendices D and E.
- 6.4 Terms and Conditions of Payment** Payments will be made to the account(s) of the Consultant/ bidder and according to the payment schedule stated in the SC. Unless otherwise stated in the SC, the first payment shall be made against the provision by the Consultant/ bidder of an advance payment guarantee for the same amount, and shall be valid for the period stated in the SC. Such guarantee shall be in the form set forth in Appendix G hereto, or in such other form as the Procuring Agency shall have approved in writing. Any other payment shall be made after the conditions listed in the SC for such payment have been met, and the Consultant/ bidder has submitted an invoice to the Procuring Agency specifying the amount due.
- 6.5 Interest on Delayed Payments** If the Procuring Agency has delayed payments beyond fifteen (15) days after the due date stated in the Clause SC 6.4, interest shall be paid to the Consultant/ bidder for each day of delay at the rate stated in the SC.

7. GOOD FAITH

- 7.1 Good Faith** The Parties undertake to act in good faith with respect to each other's rights under this Contract and to adopt all reasonable measures to ensure the realization of the objectives of this Contract.

8. SETTLEMENT OF DISPUTES

- 8.1 Amicable Settlement** The Parties agree that the avoidance or early resolution of disputes is crucial for a smooth execution of the Contract and the success of the assignment. The Parties shall use their best efforts to settle amicably all disputes arising out of or in connection with this Contract or its interpretation.
- 8.2 Dispute Resolution** Any dispute between the Parties as to matters arising pursuant to this Contract that cannot be settled amicably within thirty (30) days after receipt by one Party of the other Party's request for such amicable settlement may be submitted by either Party for settlement in accordance with the provisions specified in the SC.

III. Special Conditions of Contract

Number of GC Clause	Amendments of, and Supplements to, Clauses in the General Conditions of Contract
1.3	The language is <i>English</i>
1.4	<p>The addresses are:</p> <p>Procuring Agency: Bhutan Lottery Limited</p> <p>Attention: Chief Executive Officer</p> <p>Facsimile: 05253090</p> <p>Consultant/ bidder:</p> <p>Attention: _____</p> <p>Facsimile: _____</p> <p>E-mail: _____</p>
1.7	<p>The Authorized Representatives are:</p> <p>For the Procuring Agency: Saran Gurung, Manager (IT), BLL+97505253088,+97517626512, Email id: sarangurung@bll.bt</p> <p>For the Consultant/ bidder: _____</p>
1.8	<p><i>Note: Generally Bhutanese duties and indirect taxes are not to be reimbursed. It is left to the Procuring Agency to decide whether the Consultant/ bidder (i) should be exempted from any such levies, or (ii) should be reimbursed by the Procuring Agency for any such levies it might have to pay (or that the Procuring Agency would pay such levies on behalf of the Consultant/ bidder and the Personnel).</i></p> <p><i>The Consultant/ bidder must be informed in Clause Reference 15.1 of the Data Sheet about which alternative the Procuring Agency wishes to apply.</i></p> <p>The Procuring Agency warrants that the Consultant/ bidder, the Sub-Consultant/ bidders and the Personnel shall be exempt from (or that the Procuring Agency shall pay on behalf of the Consultant/ bidder, the Sub-Consultant/ bidders and the Personnel, or shall reimburse the Consultant/ bidder, the Sub-Consultant/ bidders and the Personnel for) any indirect taxes, duties, fees, levies and other impositions imposed, under the Applicable Laws of Bhutan, on the Consultant/ bidder, the Sub-Consultant/ bidders and the Personnel in respect of:</p> <p>(a) any payments whatsoever made to the Consultant/ bidder, Sub-Consultant/ bidders and the Personnel (other than nationals or permanent residents of Bhutan), in connection with the carrying out of the Services;</p>

	<p>(b) any equipment, materials and supplies brought into Bhutan by the Consultant/ bidder or Sub-Consultant/ bidders for the purpose of carrying out the Services and which, after having been brought into Bhutan, will be subsequently withdrawn therefrom by them;</p> <p>(c) any equipment imported for the purpose of carrying out the Services and paid for out of funds provided by the Procuring Agency and which is treated as property of the Procuring Agency;</p> <p>(d) any property brought into Bhutan by the Consultant/ bidder, any Sub-Consultant/ bidders or the Personnel (other than nationals or permanent residents of Bhutan), or the eligible dependents of such Personnel for their personal use and which will subsequently be withdrawn therefrom by them upon their respective departure from Bhutan, provided that:</p>
	<p>(1) the Consultant/ bidder, Sub-Consultant/ bidders and Personnel, and their eligible dependents, shall follow the usual Customs procedures of Bhutan in importing property into Bhutan; and</p> <p>(2) if the Consultant/ bidder, Sub-Consultant/ bidders or Personnel, or their eligible dependents, do not withdraw but dispose of any property in Bhutan upon which Customs duties and taxes have been exempted, the Consultant/ bidder, Sub-Consultant/ bidders or Personnel, as the case may be, (i) shall bear such Customs duties and taxes in conformity with the regulations of Bhutan, or (ii) shall reimburse them to the Procuring Agency if they were paid by the Procuring Agency at the time the property in question was brought into Bhutan.</p>
2.2	The number of days shall be <i>90 days</i>
2.3	2 months for software setup and lease for 3 years (lease period will be extendable based on the situation after completion of 3 years)
3.4	<p>The risks and the coverage shall be as follows:</p> <p>c) professional liability insurance, with a minimum coverage of <i>[insert amount and currency]</i>; NA</p> <p>d) employer's liability and workers' compensation insurance in respect of the Personnel of the Consultant/ bidder and of any Sub-Consultant/ bidders, in accordance with the relevant provisions of the Applicable Laws of Bhutan, as well as, with respect to such Personnel, any such life, health, accident, travel or other insurance as may be appropriate; and NA</p>
{3.7 (b)}	<p>Note: If there is to be no restriction on the future use of these documents by either Party, this Clause SC 3.7(b) should be deleted. If the Parties wish to restrict such use, any of the following options, or any other option agreed to by the Parties, may be used:</p> <p>Neither Party shall use these documents and software for purposes unrelated</p>

	to this Contract without the prior written approval of the other Party.
5.1	Assist international consultant/ bidders in getting entry permit to Bhutan
6.2(a)	The amount in foreign currency or currencies is NA
6.2(b)	The amount in Ngultrum

6.4	<p>The accounts are:</p> <p style="padding-left: 40px;">for Ngultrum: <i>[insert account]</i></p> <p>Payments shall be made according to the following schedule:</p> <table style="margin-left: 40px; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;"><u>Stages</u></th> <th style="text-align: right;"><u>Percent</u></th> </tr> </thead> <tbody> <tr> <td>Upon Award</td> <td style="text-align: right;">20%</td> </tr> <tr> <td>Upon launch of system</td> <td style="text-align: right;">80%</td> </tr> </tbody> </table> <p>Retention money of 10% shall be deducted from the amount payable.</p>	<u>Stages</u>	<u>Percent</u>	Upon Award	20%	Upon launch of system	80%
<u>Stages</u>	<u>Percent</u>						
Upon Award	20%						
Upon launch of system	80%						
6.5	The interest rate is: <i>15%</i>						
8.2	<p>Disputes shall be settled by arbitration in accordance with the following provisions:</p> <ol style="list-style-type: none"> 1. <u>Selection of Arbitrators.</u> Each dispute submitted by a Party to arbitration shall be heard by a sole arbitrator or an arbitration panel composed of three arbitrators, in accordance with the following provisions: <ol style="list-style-type: none"> (a) Where the Parties agree that the dispute concerns a technical matter, they may agree to appoint a sole arbitrator or, failing agreement on the identity of such sole arbitrator within thirty (30) days after receipt by the other Party of the proposal of a name for such an appointment by the Party who initiated the proceedings, either Party may apply to <i>[name an appropriate international professional body: e.g. the Federation Internationale des Ingenieurs-Conseil (FIDIC) of Lausanne, Switzerland, etc.]</i> for a list of not fewer than five nominees. Upon receipt of such list, the Parties (commencing with the Procuring Agency when the list comprises an even number of nominees, and with the Consultant/ bidder when the list comprises an odd number of nominees) shall alternately strike names therefrom, and the last remaining nominee on the list shall be the sole arbitrator for the matter in dispute. If the last remaining nominee has not been determined in this manner within sixty (60) days of the date of the list, <i>[insert the name of the same professional body as above]</i> shall appoint, upon the request of either Party and from such list or otherwise, a sole arbitrator for the matter in dispute. (b) Where the Parties do not agree that the dispute concerns a technical matter, the Procuring Agency and the Consultant/ bidder shall each appoint one arbitrator, and these two arbitrators shall jointly appoint a third arbitrator, who shall chair the arbitration panel. If the arbitrators named by the Parties do not succeed in appointing a third arbitrator within thirty (30) days after the latter of the two arbitrators named by the Parties has been appointed, the third arbitrator shall, at the request of either Party, be appointed by <i>[name an appropriate international appointing authority, e.g., the Secretary General of the Permanent Court of Arbitration, The Hague; the Secretary General of the International Centre for Settlement of Investment Disputes, Washington, D.C.; the International Chamber of Commerce, Paris; etc.]</i>. 						

	<p>(c) If, in a dispute subject to Clause SC 8.2 1.(b), one Party fails to appoint its arbitrator within thirty (30) days after the other Party has appointed its arbitrator, the Party which has named an arbitrator may apply to the <i>[name the same appointing authority as in Clause SC 8.2 1.(b)]</i> to appoint a sole arbitrator for the matter in dispute, and the arbitrator appointed pursuant to such application shall be the sole arbitrator for that dispute.</p> <p>2. Rules of Procedure. Except as stated herein, arbitration proceedings shall be conducted in accordance with the rules of procedure for arbitration of the United Nations Commission on International Trade Law (UNCITRAL) as in force on the date of this Contract.</p> <p>3. Substitute Arbitrators. If for any reason an arbitrator is unable to perform his function, a substitute shall be appointed in the same manner as the original arbitrator.</p> <p>4. Nationality and Qualifications of Arbitrators. The sole arbitrator or the third arbitrator appointed pursuant to paragraphs (a) through (c) of Clause SC 8.2 1 hereof shall be an internationally recognized legal or technical expert with extensive experience in relation to the matter in dispute and shall not be a national of the Consultant/ bidder's home country [<i>Note: If the Consultant/ bidder consists of more than one entity, add: or of the home country of any of their Members or Parties</i>] or of Bhutan. For the purposes of this Clause, "home country" means any of:</p> <p>(a) the country of incorporation of the Consultant/ bidder [<i>Note: If the Consultant/ bidder consists of more than one entity, add: or of any of their Members or Parties</i>]; or</p> <p>(b) the country in which the Consultant/ bidder's [or any of their Members' or Parties'] principal place of business is located; or</p> <p>(c) the country of nationality of a majority of the Consultant/ bidder's [or of any Members' or Parties'] shareholders; or</p> <p>(d) the country of nationality of the Sub-Consultant/ bidders concerned, where the dispute involves a subcontract.</p>
	<p>5. Miscellaneous. In any arbitration proceeding hereunder:</p> <p>(a) proceedings shall, unless otherwise agreed by the Parties, be held in <i>[select a country which is neither Bhutan nor the Consultant/ bidder's country]</i>;</p> <p>(b) the <i>[insert name of language]</i> language shall be the official language for all purposes; and</p> <p>(c) the decision of the sole arbitrator or of a majority of the arbitrators (or of the third arbitrator if there is no such majority) shall be final and binding and shall be enforceable in any court of competent jurisdiction, and the Parties hereby waive any objections to or claims of immunity in respect of such enforcement.</p> <p>For Contracts with Bhutanese Consultant/ bidders</p>

	<p>Construction Development Board (CDB) or other Independent Agency:</p> <p>GCC Sub-Clause 8.2—All disputes arising in connection with the present Contract shall be finally resolved by arbitration in accordance with the rules and procedures of the CDB or any other independent agency that has been appropriately mandated at the time of submission of the dispute through its National Arbitration Committee. The arbitration award shall be final on the parties who shall be deemed to have accepted to carry out the resulting award without delay and to have waived their right to any form of appeal insofar as such waiver can validly be made.</p>
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IV. Appendices

APPENDIX A – DESCRIPTION OF SERVICES

Note: Give detailed descriptions of the Services to be provided, dates for completion of various tasks, place of performance for different tasks, specific tasks to be approved by the Procuring Agency, etc.

APPENDIX B - REPORTING REQUIREMENTS

Note: List format, frequency and contents of reports; persons to receive them; dates of submission; etc.

APPENDIX C - KEY PERSONNEL AND SUB-CONSULTANT/ BIDDERS

Note: List under:

- C-1 Titles [and names, if already available], detailed job descriptions and minimum qualifications of Key Foreign Personnel to be assigned to work in Bhutan, and estimated staff-months for each.*
- C-2 Same as C-1 for Key Foreign Personnel to be assigned to work outside Bhutan.*
- C-3 List of approved Sub-Consultant/ bidders (if already available); same information with respect to their Personnel as in C-1 or C-2.*
- C-4 Same information as C-1 for Key local Personnel.*

APPENDIX D - BREAKDOWN OF CONTRACT PRICE IN FOREIGN CURRENCY

Note: List here the elements of cost used to arrive at the breakdown of the lump-sum price - foreign currency portion:

- 1. Monthly rates for Personnel (Key Personnel and other Personnel).*
- 2. Reimbursable expenses.*

This appendix will exclusively be used for determining remuneration for additional services.

APPENDIX E - BREAKDOWN OF CONTRACT PRICE IN LOCAL CURRENCY

Note: List here the elements of cost used to arrive at the breakdown of the lump-sum price - local currency portion:

1. *Monthly rates for Personnel (Key Personnel and other Personnel).*
2. *Reimbursable expenditures.*

This appendix will exclusively be used for determining remuneration for additional services.

APPENDIX F - SERVICES AND FACILITIES PROVIDED BY THE PROCURING AGENCY

Note: List here the services and facilities to be made available to the Consultant/ bidder by the Procuring Agency.

APPENDIX G - FORM OF ADVANCE PAYMENTS GUARANTEE

Note: See Clause GC 6.4 and Clause SC 6.4.

Bank Guarantee for Advance Payment

_____ *[Bank's Name, and Address of Issuing Branch or Office]*

Beneficiary: _____ *[Name and Address of Procuring Agency]*

Date: _____

ADVANCE PAYMENT GUARANTEE No.: _____

We have been informed that *[name of Consultant/ bidder]* (hereinafter called "the Consultant/ bidder") has entered into Contract No. *[reference number of the Contract]* dated *[insert date]* with you, for the provision of *[brief description of Services]* (hereinafter called "the Contract").

Furthermore, we understand that, according to the conditions of the Contract, an advance payment in the sum of *[amount in figures]* (*[amount in words]*) is to be made against an advance payment guarantee.

At the request of the Consultant/ bidder, we *[name of Bank]* hereby irrevocably undertake to pay you any sum or sums not exceeding in total an amount of *[amount in figures]* (*[amount in words]*)⁵⁶ upon receipt by us of your first demand in writing accompanied by a written statement stating that the Consultant/ bidder is in breach of its obligation under the Contract because the Consultant/ bidder has used the advance payment for purposes other than toward providing the Services under the Contract.

It is a condition for any claim and payment under this guarantee to be made that the advance payment referred to above must have been received by the Consultant/ bidder in its account number _____ at *[name and address of Bank]*.

The maximum amount of this guarantee shall be progressively reduced by the amount of the advance payment repaid by the Consultant/ bidder as indicated in copies of certified payment statements which shall be presented to us. This guarantee shall expire, at the latest, upon our receipt of the payment certificate indicating that the Consultant/ bidder has made full repayment of the amount of the advance payment, or on the ___ day of _____, 2___,⁵⁷ whichever is earlier. Consequently, any demand for payment under this guarantee must be received by us at this office on or before that date. The Guarantor agrees to a one-time extension of this guarantee for a period not to exceed *[six months][one year]*, in response to the Procuring Agency's written request for such extension, such request to be presented to the Guarantor before the expiry of the guarantee.

This guarantee is subject to the Uniform Rules for Demand Guarantees, ICC Publication No. 458.

[signature(s)]

⁵⁶ The Guarantor shall insert an amount representing the amount of the advance payment and denominated either in the currency or currencies of the advance payment as specified in the Contract, or in a freely convertible currency acceptable to the Procuring Agency.

⁵⁷ Insert the expected expiration date. In the event of an extension of the time for completion of the Contract, the Procuring Agency would need to request an extension of this guarantee from the Guarantor. Such request must be in writing and must be made prior to the expiration date established in the guarantee.

Note: All italicized text is for indicative purposes only to assist in preparing this form and shall be deleted from the final product.

